

RESEARCH ARTICLE

Open Access



A phase Ib study of capecitabine and ziv-aflibercept followed by a phase II single-arm expansion cohort in chemotherapy refractory metastatic colorectal cancer

John H. Strickler^{1*} , Christel N. Rushing⁴, Donna Niedzwiecki⁴, Abigail McLeod¹, Ivy Altomare¹, Hope E. Uronis¹, S. David Hsu¹, S. Yousuf Zafar¹, Michael A. Morse¹, David Z. Chang², James L. Wells³, Kimberly L. Blackwell¹, P. Kelly Marcom¹, Christy Arrowood¹, Emily Bolch¹, Sherri Haley¹, Fatima A. Rangwala⁵, Ace J. Hatch¹, Andrew B. Nixon¹ and Herbert I. Hurwitz⁶

Abstract

Background: Patients with chemotherapy refractory metastatic colorectal cancer (CRC) have a poor prognosis and limited therapeutic options. In this phase Ib/II clinical trial, we established the maximum tolerated dose (MTD) and recommended phase II dose (RPTD) for the combination of capecitabine and ziv-aflibercept, and then we evaluated the efficacy of the combination in patients with chemotherapy refractory metastatic CRC.

Methods: All patients were required to have a Karnofsky Performance Status $\geq 70\%$ and adequate organ function. The phase Ib dose escalation cohort included patients with advanced solid tumors who had progressed on all standard therapies. Using a standard 3 + 3 design, we identified the MTD and RPTD for the combination. Fifty patients with metastatic CRC who had progressed on or were intolerant of a fluoropyrimidine, oxaliplatin, irinotecan, and bevacizumab were then enrolled in a single-arm phase II expansion cohort, and were treated at the RPTD. Prior EGFR antibody therapy was required for subjects with *RAS* wildtype tumors. The primary endpoint for the expansion cohort was progression-free survival (PFS) at two months. Secondary endpoints included objective response rate (ORR) and overall survival (OS).

Results: A total of 63 patients were enrolled and evaluable for toxicity (13 dose escalation; 50 expansion). The MTD and RPTD were: capecitabine 850 mg/m², P.O. bid, days 1–14, and ziv-aflibercept 6 mg/kg I.V., day 1, of each 21-day cycle. In the expansion cohort, 72% of patients were progression-free at two months (95% confidence interval [CI], 60–84%). Median PFS and OS were 3.9 months (95% CI, 2.3–4.5) and 7.1 months (95% CI: 5.8–10.0), respectively. Among all patients evaluable for toxicity, the most common treatment related adverse events (all grade [%]; grade ≥ 3 [%]) included palmar-plantar erythrodysesthesia (41%; 6%), hypertension (33%; 22%), and mucositis (19%; 5%). RNA was isolated from archived tumor specimens and gene expression analyses revealed no association between angiogenic biomarkers and clinical outcomes.

(Continued on next page)

* Correspondence: john.strickler@duke.edu

Fatima A. Rangwala and Herbert I. Hurwitz Performed while at Duke University Medical Center

Gastrointestinal Cancer Symposium, San Francisco, CA, 21st January 2016 (poster presentation)

¹Duke University Medical Center, Box 3216, 30 Duke Medicine Circle, Room #0050, Durham, NC 27705, USA

Full list of author information is available at the end of the article



(Continued from previous page)

Conclusion: The combination of capecitabine and ziv-aflibercept at the RPTD demonstrated acceptable safety and tolerability. PFS at 2 months in patients with chemotherapy refractory metastatic CRC was significantly greater than that in historical controls, indicating that this combination warrants further study.

Trial registration: This clinical trial was registered in the www.clinicaltrials.gov system as NCT01661972 on July 31, 2012.

Keywords: Capecitabine, Ziv-aflibercept, Metastatic colorectal cancer, Advanced solid tumors,

Introduction

Colorectal cancer (CRC) is the fourth leading cause of cancer death in men and the third leading cause of cancer death in women worldwide [1]. Once a patient with metastatic CRC has experienced progression on first and second-line chemotherapy and biological therapies, the survival benefit of remaining therapies is limited [2, 3]. In addition, therapeutic options may be constrained by patient comorbidities, age, or performance status. Novel therapeutic regimens are needed that are both tolerable and provide meaningful clinical benefit.

Ziv-aflibercept is a recombinant fusion protein containing portions of the extracellular domains of VEGFR1 (also known as Flt-1) and VEGFR2 (also known as KDR or Flk-1). Ziv-aflibercept binds vascular endothelial growth factor (VEGF)-A, VEGF-B, and placental growth factor (PlGF), thereby inhibiting VEGF-mediated angiogenesis [4]. Ziv-aflibercept is approved by the United States Food and Drug Administration (FDA) and the European Medicines Agency (EMA) for use in combination with Fluorouracil (5-FU), leucovorin, and irinotecan (FOLFIRI) for the second line treatment of metastatic CRC [5]. In the phase III VELOUR trial, the addition of ziv-aflibercept to FOLFIRI in patients with metastatic CRC resulted in a survival of 13.5 months, compared to 12.1 months for FOLFIRI alone (hazard ratio [HR], 0.82; 95% confidence interval [CI], 0.71–0.94; $P < 0.01$) [6].

Capecitabine is an oral fluoropyrimidine carbamate indicated for the treatment of metastatic CRC [7]. Capecitabine is considered interchangeable with intravenous 5-FU based on its similar efficacy and safety [8–12]. In the chemotherapy refractory setting (3rd line and beyond), capecitabine monotherapy has a median time to progression of 2 months [13]. Prior studies combining capecitabine with the anti-VEGF monoclonal antibody bevacizumab have shown that the combination is clinically active and tolerable, even among patients with advanced age or comorbidities [14, 15]. Since anti-VEGF therapies are active for patients with metastatic CRC in the first-line [16], second-line [17, 18], and beyond [2], we hypothesized that the combination of capecitabine and ziv-aflibercept would be tolerable, and would exceed the PFS of historical controls.

In this phase Ib/II clinical trial, we assessed the safety, tolerability, and efficacy of capecitabine in combination with ziv-aflibercept. The primary objective was to establish a maximum tolerated dose (MTD) and a recommended phase two dose (RPTD) in patients with advanced solid tumors. We then enrolled 50 patients with chemotherapy refractory metastatic CRC in a single-arm phase II expansion cohort to establish the safety and efficacy of the RPTD.

Patients and methods

Study design

This multi-center phase Ib/II clinical trial was conducted at Duke University Medical Center (Durham, North Carolina), Duke Cancer Network clinical sites, Virginia Oncology Associates (Hampton, Virginia), and Lexington Medical Center (West Columbia, South Carolina). This study was performed after approval by the Institutional Review Boards of participating centers. All patients provided written informed consent prior to any study-related procedure. This study was conducted in accordance with guidelines of the Helsinki Declaration, and is registered with ClinicalTrials.gov (NCT01661972).

This study consisted of a Phase Ib (dose escalation) cohort followed by a Phase II expansion cohort. In the Phase Ib dose escalation cohort, we utilized a standard “3 + 3” design to identify the MTD and RPTD of the combination of capecitabine (Genentech, South San Francisco, CA, USA) and ziv-aflibercept (Sanofi-Aventis, Bridgewater, NJ, USA) in patients with advanced solid tumors. The MTD was defined around toxicity occurring in the first cycle. The RPTD was selected based on safety and tolerability in all cycles.

In the Phase II expansion cohort, we treated 50 subjects with chemotherapy refractory metastatic CRC to determine the safety, tolerability, and clinical activity of capecitabine in combination with ziv-aflibercept. The dose and schedule of each therapy was based on the RPTD from the Phase Ib dose escalation cohort, and are listed in Table 1. Treatment was continued for all patients until disease progression, unacceptable toxicity, or death.

Patients

Eligibility for the dose escalation cohort included patients with a histologically or cytologically confirmed malignant

Table 1 Dose and schedule of Capecitabine and Ziv-aflibercept

Cohort	# Evaluable Subjects	Capecitabine P.O. (mg/m ²) BID, Days 1–14	Ziv-Aflibercept I.V. (mg/kg) Q3 weeks, Day 1
Phase Ib (dose escalation)			
Cohort 1	7	850	6
Cohort 2	6	1000	6
Phase II (expansion)			
	50	850	6

solid tumor that was refractory to all standard therapies. Eligibility for the expansion cohort included patients with metastatic CRC who had progressed on, were intolerant of, or were inappropriate for all standard therapies. Subjects must have been treated with a fluoropyrimidine (e.g., 5-fluorouracil or capecitabine), oxaliplatin, irinotecan and bevacizumab or have contraindication to such treatment. Prior epidermal growth factor receptor (EGFR)-targeting agent (cetuximab or panitumumab) was required for subjects with *RAS* wildtype tumors. Patients in the dose escalation cohort were not required to have measurable disease by RECIST version 1.1. Patients in the expansion cohort were required to have measurable disease by RECIST version 1.1. Inclusion criteria for all subjects in the dose escalation and the expansion cohorts included Karnofsky performance status (KPS) equal to or greater than 70%, life expectancy of at least 3 months, and adequate organ and marrow function.

Exclusion criteria for all subjects in the dose escalation and expansion cohorts included systolic blood pressure greater than 150 mmHg and/or diastolic blood pressure greater than 90 mmHg, history of arterial thromboembolic events or symptomatic pulmonary embolism within 6 months of study enrollment, anti-coagulation with warfarin, history of fistula, history of gastrointestinal perforation, and history of any major bleeding within 6 months of enrollment. Prior treatment with ziv-aflibercept was permitted.

Safety and DLT assessment

The National Cancer Institute Common Toxicity Criteria version 4.0 (NCI-CTC; version 4.0) was used to assess adverse events (AEs). Enrolled patients were considered evaluable for toxicity if they received any treatment. Patients in the dose escalation cohort were evaluable for DLT if they completed cycle one or experienced a DLT in cycle one. Patients not evaluable for DLT were replaced. The following treatment related adverse events (TRAEs) were considered DLT in cycle 1: any grade 4 neutropenia, thrombocytopenia, or anemia or grade 3 neutropenia or thrombocytopenia lasting more than 7 days; any grade 3 thrombocytopenia associated with bleeding; neutropenic fever; nausea, vomiting or diarrhea grade ≥ 3 and lasting ≥ 4 days

despite supportive measures; grade ≥ 3 bilirubin, ALT or AST elevation > 7 days; other non-hematologic toxicity grade ≥ 3 excluding alopecia, anorexia, fatigue, hypertension, isolated lab abnormalities (not clinically significant) and rare, idiosyncratic reactions to any of the study drugs; inability to receive at least 80% of scheduled doses of each study drug due to treatment-related toxicity; any treatment-related death or treatment-related hospitalization. Anorexia, fatigue, and hypertension were considered dose-limiting if they were unmanageable or were grade 4 in severity.

Clinical and radiographic assessment

All patients received a clinical assessment at baseline and then every 3 weeks before treatment with ziv-aflibercept. These assessments included vital signs, performance status, and routine laboratory studies. Urinalysis or urine protein to creatinine ratio (UPC) was obtained every 3 weeks (each cycle). In the dose escalation cohort, physical examination and clinical assessment occurred weekly during cycle one.

Radiographic disease assessments were performed using either contrast-enhanced computed tomography (CT) or magnetic resonance imaging (MRI) of the chest, abdomen, and pelvis. These radiographic assessments were completed within 4 weeks prior to the start of therapy and repeated every 3 cycles (9 weeks). Tumors were evaluated using RECIST version 1.1.

Statistical analysis

The primary study objective of the phase Ib dose escalation cohort was to determine the MTD and RPTD of capecitabine in combination with ziv-aflibercept and to describe any non-dose limiting and dose limiting toxicities. The primary objective of the phase II expansion cohort was to estimate clinical activity. The primary endpoint was 2-month PFS. Secondary endpoints included tumor response, PFS, and OS. Based on historical results the null hypothesis was a 2-month PFS of 48% for capecitabine alone [13] tested against the alternative of a 2-month PFS of 65%. Two-month PFS and its 95% CI were estimated as a binomial proportion. The criterion for success was an observed proportion of at least 30 of 50 patients progression free at 2 months ($\alpha = 0.06$; $\beta =$

0.19). Median PFS and OS were estimated by the Kaplan-Meier method. For tumor response, the frequency of best response (complete response + partial response) over the treatment period was tabulated and its 95% CI was computed.

Tumor samples

Baseline, formalin-fixed, paraffin-embedded (FFPE) tumor specimens were used for gene expression analyses. Tissue samples of sufficient size for RNA extraction were chosen based on review of pathology reports. Tumor-containing regions were manually macrodissected using a hematoxylin and eosin (H&E) stained slide as a guide.

RNA extraction and reverse-transcription

Total RNA was extracted from $5 \times 5 \mu\text{m}$ slides using the Maxwell RSC FFPE RNA Kit (Promega, Madison, WI) according to the manufacturer's instructions. Reverse-transcription of 200 ng of RNA was performed using the High Capacity cDNA Reverse Transcription kit (Applied Biosystems, Foster City, CA) according to the manufacturer's instructions.

Real-time PCR

Of the 63 patients that accrued to the study, a total of 31 tumor samples yielded sufficient RNA for reverse-transcription. Expression levels of VEGF-A, VEGF-C, VEGF-D, PIGF, NRP1, and NRP2 were quantified using preformulated TaqMan real-time PCR assays and TaqMan Gene Expression Master Mix (Applied Biosystems, Foster City, CA) in $10 \mu\text{l}$ reactions according to the manufacturer's instructions. All assays were run in duplicate. If the standard deviation (SD) in cycle thresholds (CT) between replicate wells was >0.5 then the reaction was rerun. If the rerun data improved replicate consistency ($\text{SD} < 0.5$), then the rerun data was used. Gene expressions levels were normalized to β -actin using the ΔCT method. Gene-specific assays are listed in Additional file 1: Table S1.

Results

Patient characteristics

Subject accrual occurred between September 2012 and October 2015. Sixty-three patients received at least one dose of capecitabine and ziv-aflibercept and were considered evaluable for toxicity. Patient demographics are summarized in Table 2. The median age in this study was 57.2 years (range 35.8–80.7 years); 59% of patients were male. Among 13 patients enrolled and treated in the dose escalation cohort, seven patients had received 3 or more prior lines of treatment. Various tumor types were included in the dose escalation cohort, including colon

cancer (5 patients), breast cancer (4 patients), gastric and esophageal cancers (3 patients), and other GI malignancies (1 patient). Fifty patients with metastatic colon and rectal cancer were enrolled and treated in the expansion cohort. Twelve of these patients (24%) received two or fewer prior treatments for metastatic disease. Seventeen patients (34%) received 3 prior treatments, and twelve (24%) received four prior treatments for metastatic disease. Nine patients (18%) received five or more prior treatments. Within the expansion cohort, 46 patients (92%) had received prior 5-FU, twenty-six patients (52%) had received prior capecitabine, and twenty-two patients (44%) had received both capecitabine and 5-FU. Among the patients who received capecitabine prior to enrollment, 81% had experienced disease progression. Among the patients who received 5-FU prior to enrollment, 83% had experienced disease progression. Forty-eight patients (96%) had experienced progression on either 5-FU or capecitabine prior to enrollment. All enrolled patients in the expansion cohort had received prior anti-VEGF therapy (bevacizumab). Within the expansion cohort, forty-five patients (90%) had experienced disease progression on bevacizumab prior to enrollment. One patient had received ziv-aflibercept prior to enrollment (in combination with FOLFIRI), and this patient experienced disease progression.

Dose escalation and RP2D determination

Thirteen patients were enrolled and treated in the Dose Escalation Cohort. In Dose Level 1 (capecitabine 850 mg/m^2 p.o. bid, days 1–14; ziv-aflibercept 6 mg/kg I.V. day 1 of each 21-day cycle), one subject experienced a DLT event (Grade 3 colonic perforation). One patient in this cohort was not evaluable for DLT assessment due to disease-related intercurrent illness. Capecitabine was then increased to 1000 mg/m^2 , and two of six patients experienced DLT events. These DLT events included grade 2 intolerable fatigue ($n = 1$) and grade 3 oral mucositis ($n = 1$). Based on one DLT among six evaluable patients, Dose Level 1 was established as the MTD and RPTD.

Efficacy

Of the 10 subjects evaluable for tumor response in the dose escalation cohort, seven patients had stable disease (SD) and 3 patients had progressive disease (PD). Within the expansion cohort, one subject experienced a partial response (PR), with an overall response rate (ORR) of 2% (Fig. 1). In the expansion cohort, 72% of patients were progression-free at two months (95% CI, 60–84%), significantly greater than the historical control (48%). Median PFS was 3.9 months (95% CI, 2.3–4.5) (Fig. 2a). Ten patients in the expansion cohort had PFS greater than six months. Overall survival was 7.1 months (95% CI, 5.8–10.0) (Fig. 2b).

Table 2 Baseline demographics and patient characteristics overall and by cohort

Characteristic	Dose escalation cohort (n = 13)	Expansion cohort (n = 50)	Overall (n = 63)
Age, year			
Mean	58.1	57.7	57.8
Median	55.1	57.2	57.2
Range	40.7–80.7	35.8–75.5	35.8–80.7
Gender, n (%)			
Male	7 (54)	30 (60)	37 (59)
Female	6 (46)	20 (40)	26 (41)
Race			
White	11 (85)	29 (58)	40 (63)
Black	2 (15)	14 (28)	16 (25)
Other / unknown	0	7 (14)	7 (11)
Performance status, KPS (%)			
100	1 (8)	5 (10)	6 (9)
90	7 (54)	18 (36)	25 (40)
80	3 (23)	24 (48)	27 (43)
70	2 (15)	3 (6)	5 (8)
# of prior treatments (%)			
1	1 (8)	2 (4)	3 (5)
2	5 (38)	10 (20)	15 (24)
3	6 (46)	17 (34)	23 (31)
4	0	12 (24)	12 (19)
≥ 5	1 (8)	9 (18)	10 (16)
Tumor Type, n (%)			
Breast	4 (31)	0	4 (6)
Colon	5 (38)	41 (82)	46 (73)
Esophagus	1 (8)	0	1 (2)
Gastric	2 (15)	0	2 (3)
GI	1 (8)	0	1 (2)
Rectum	0	9 (18)	9 (14)

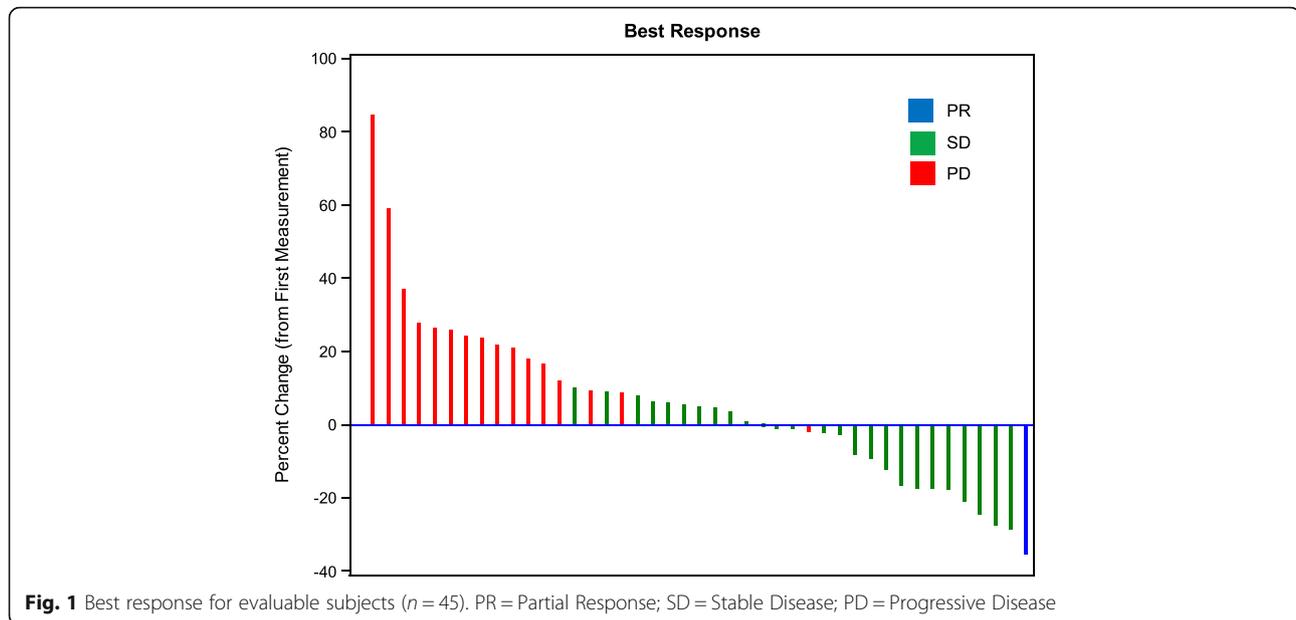
Safety

Table 3 summarizes treatment related adverse events (TRAEs). Grade 1 AEs were not recorded. Of all the patients treated, 52 patients (83%) experienced at least one TRAE. The most common TRAEs of any grade were palmar-plantar erythrodysesthesia syndrome (PPE) (41%), hypertension (33%), oral mucositis (19%), fatigue (16%), and proteinuria (14%). Most AEs were moderate (grade 2) and resolved with supportive clinical care and protocol-specified treatment suspension and dose reductions. 32 patients (51%) experienced a grade 3 or greater TRAE. The most common grade 3 or 4 TRAEs were hypertension (22%), PPE (6%), and mucositis (5%). One patient experienced a Grade 4 intracranial hemorrhage probably related to study treatment (ziv-aflibercept). There was one death from respiratory failure (Death NOS), thought to be related

to progressive disease, although a contribution from ziv-aflibercept cannot be excluded.

Correlative studies

To determine whether the expression of angiogenic ligands correlated with clinical benefit, real-time PCR was performed to evaluate baseline levels of VEGF-A, VEGF-C, VEGF-D, PlGF, NRP1, and NRP2 expression. VEGF-D was excluded from this analysis as it could not be reliably detected in these samples. No associations were observed between gene expression levels (Δ Ct) and survival outcomes (PFS and OS). Furthermore, when patients with PR/SD were compared to patients with PD, gene expression did not significantly differ between the responder and non-responder groups (Additional file 1: Table S2).



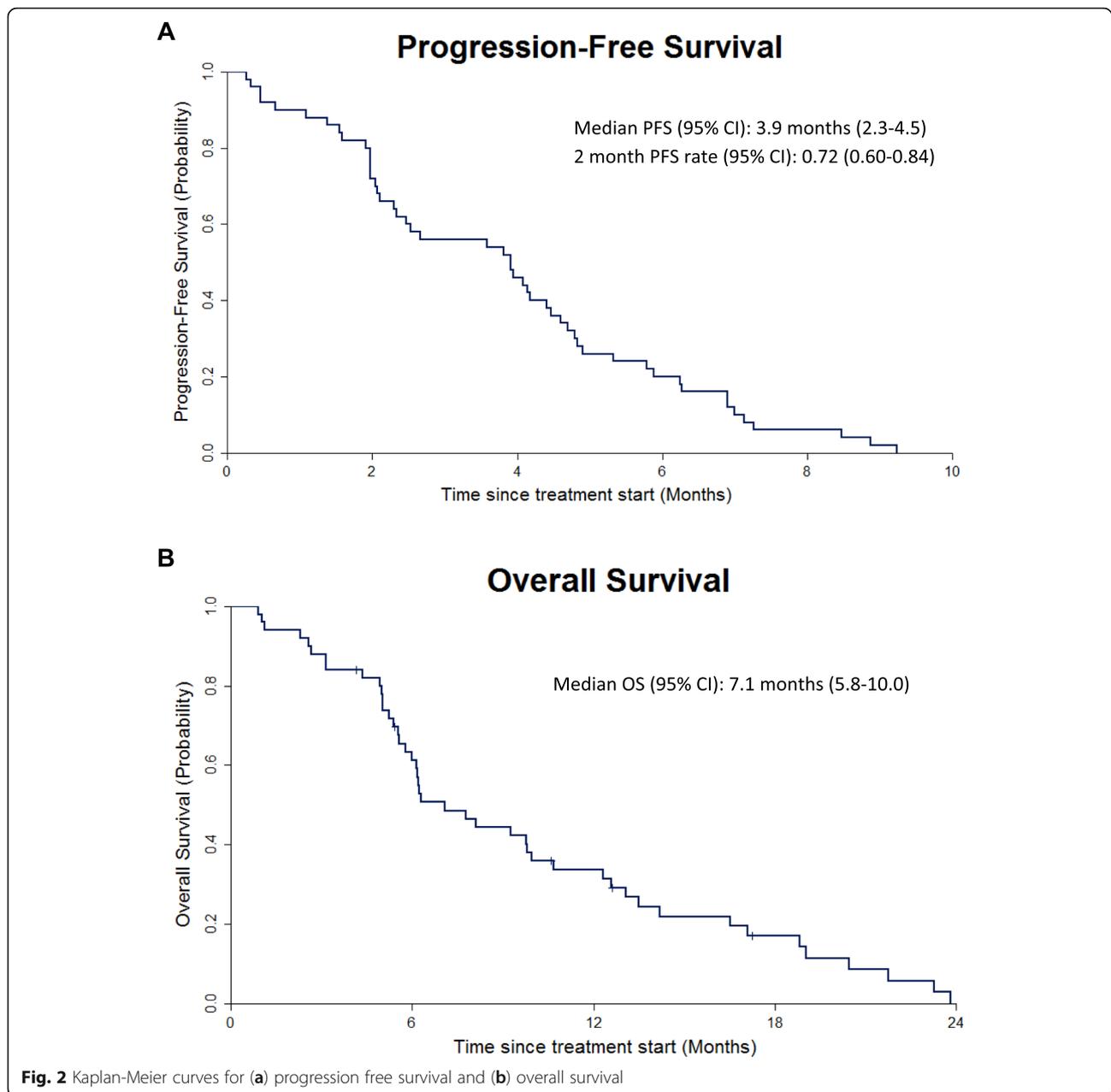
Discussion

Angiogenesis plays a vital role in mediating embryonic development, wound healing, and vascular permeability, but can also be co-opted by tumors to promote growth and metastasis [19, 20]. Ziv-aflibercept—which binds ligands for VEGFR1 and VEGFR2 and prevents receptor activation—is a potent angiogenesis inhibitor. Although the survival benefit of ziv-aflibercept is well established for the second line treatment of metastatic CRC, its activity is not well established in patients treated in the third line setting and beyond.

In this phase Ib/II study, we established the MTD/RPTD of capecitabine and ziv-aflibercept in patients with refractory advanced solid tumors. The MTD/RPTD of capecitabine in this study (850 mg/m², p.o. bid, days 1–14 of each 21 day cycle) is lower than the dosage listed in the capecitabine prescribing information (1250 mg/m², p.o. bid, days 1–14 of each 21 day cycle) [21]. It is possible that this lower RPTD of capecitabine reflects the heavily pretreated patient population. Additionally, regional variation in capecitabine tolerability may have impacted the RPTD [22]. Alternatively, ziv-aflibercept may have potentiated the effects of chemotherapy, thereby reducing the tolerability of capecitabine. The phase III VELOUR study noted greater rates of chemotherapy-related toxicity in patients receiving ziv-aflibercept, including diarrhea, stomatitis, PPE, and neutropenia [6]. However, even with the lower RPTD of capecitabine, this study suggests that efficacy was not compromised. As shown in a prior study, capecitabine dosage and schedule may impact toxicity, but response rate—a surrogate for clinical benefit—remains similar [23].

In the expansion cohort, the combination of capecitabine and ziv-aflibercept had acceptable tolerability at the RPTD. Treatment related grade 4/5 AEs were rare (3%). The most common TRAEs—PPE, hypertension, and oral mucositis—were anticipated, and were in most cases successfully managed with treatment suspension and dose modifications. Only 9% of patients (5/57) treated at the RPTD discontinued treatment due to toxicity. Given the favorable tolerability of capecitabine and ziv-aflibercept compared to available alternatives, this may be a regimen well suited to patients with advanced age or comorbidities.

In this study, the combination of capecitabine and ziv-aflibercept met its primary goal to demonstrate a 2-month PFS statistically greater than 48%. The median PFS observed in this study—3.9 months (95% CI, 2.3–4.6)—compares favorably to historical controls from standard of care therapies, including TAS-102 (median PFS = 1.9 months), regorafenib (median PFS = 1.9 months), and capecitabine monotherapy (median time to progression = 2 months) [2, 3, 13]. Additionally, the median OS of 7.1 months (95% CI, 5.8–10.0) observed in this study is similar to historical controls from TAS-102, regorafenib, and capecitabine monotherapy [2, 3, 13]. Although only one patient achieved a confirmed RECIST partial response, the ORR of TAS-102 and regorafenib are also less than 2% [2, 3]. The primary clinical benefit of capecitabine and ziv-aflibercept observed in this study was disease stabilization, which occurred in the majority (60%) of 45 evaluable patients with metastatic CRC. Disease stabilization occurred despite prior progression on 5FU or Xeloda in 96% of enrolled patients, and prior progression on bevacizumab in 90% of enrolled patients. Given the



absence of a control population and limited number of participating sites, these results will need confirmation in a larger randomized study. Recent evidence further supports the clinical benefit of oral cytotoxic chemotherapy combined with an anti-VEGF monoclonal antibody. A randomized study in patients with chemotherapy refractory metastatic CRC demonstrated improved PFS for TAS-102 and bevacizumab compared with TAS-102 alone (Median PFS, 5.9 months versus 2.9 months; $P < 0.05$) [24]. These results support the therapeutic approach, and offer a potential cytotoxic chemotherapy partner (TAS-102) for future investigation.

In this study, some patients experienced exceptional clinical benefit. This benefit occurred despite prior

treatment with anti-VEGF targeting therapies. In the dose escalation cohort, a patient with metastatic breast cancer who had received 12 lines of prior therapy—including capecitabine/ bevacizumab—experienced significant reduction in symptomatic ascites, and stable disease for over 6 months. A second subject with treatment refractory breast cancer experienced stable disease for over 8 months. Within the expansion cohort, two subjects experienced stable disease lasting longer than 6 months. Correlative analyses did not identify an association between the expression of angiogenesis-associated genes and patient outcomes. Assays of additional genes may yet identify actionable predictive biomarkers. This

Table 3 Summary of treatment-related adverse events overall and by dose level

AE type reported	850 mg/m ²		1000 mg/m ²		Total	
	N = 57, n(%)		N = 6, n(%)		N = 63, n(%)	
	All events	Grade ≥ 3	All events	Grade ≥ 3	All events	Grade ≥ 3
Patients with AEs	46 (81)	30 (53)	6 (100)	2 (33)	52 (83)	32 (51)
AE by term						
Palmar-plantar erythrodysesthesia syndrome	23 (40)	3 (5)	3 (50)	1 (17)	26 (41)	4 (6)
Hypertension	20 (35)	14 (25)	1 (17)	0 (0)	21 (33)	14 (22)
Oral Mucositis	8 (14)	2 (4)	4 (67)	1 (17)	12 (19)	3 (5)
Fatigue	9 (16)	0 (0)	1 (17)	0 (0)	10 (16)	0 (0)
Proteinuria	9 (16)	2 (4)	NA	NA	9 (14)	2 (3)
Anorexia	3 (5)	1 (2)	2 (33)	1 (17)	5 (8)	2 (3)
Nausea	3 (5)	2 (4)	NA	NA	3 (5)	2 (3)
Platelet count decreased	4 (7)	2 (4)	NA	NA	4 (6)	2 (3)
Dehydration	2 (4)	2 (4)	NA	NA	2 (3)	2 (3)
Intracranial Hemorrhage	1 (2)	1 (2)	NA	NA	1 (2)	1 (2)
Death NOS	1 (2)	1 (2)	NA	NA	1 (2)	1 (2)

analysis—with its limited number of samples and genes assayed—highlights the challenges of identifying predictors of benefit from anti-VEGF therapies.

Conclusion

The combination of capecitabine and ziv-aflibercept was tolerable and met its pre-specified target for clinical efficacy. Additional study in a prospective randomized clinical trial is warranted. Further study is needed to better define the role of biomarkers in predicting sensitivity and resistance to anti-VEGF therapy.

Additional file

Additional file 1: Table S1. Taqman Gene Expression Primers and **Table S2.** Association of angiogenic ligand expression with clinical outcomes.

Abbreviations

5-FU: 5-Fluorouracil; AE: Adverse event; ALT: Alanine aminotransferase; AST: Aspartate aminotransferase; CBC: Complete blood count; CI: Confidence interval; CNS: Central nervous system; CRC: Colorectal cancer; CT: Computed tomography; CT: Cycle thresholds; DLT: Dose limiting toxicity; DNA: Deoxyribonucleic acid; EGFR: Epidermal growth factor receptor; EMA: European Medicines Agency; FDA: Food and Drug Administration; FFPE: Formalin-fixed, paraffin-embedded; FLK1: Fetal Liver Kinase 1; FLT1: fms related tyrosine kinase 1; FOLFIRI: 5-Fluorouracil, leucovorin, and irinotecan; GI: Gastrointestinal; H&E: Hematoxylin and eosin; HR: Hazard ratio; I.V.: Intravenous; KDR: Kinase insert domain receptor; KPS: Karnofsky Performance Status; mmHg: Millimeters of mercury; MRI: Magnetic resonance imaging; MTD: Maximum tolerated dose; NCI-CTC: National Cancer Institute Common Toxicity Criteria; NRP1: Neuropilin 1; NRP2: Neuropilin 2; ORR: Objective response rate; OS: Overall survival; P.O.: Per os (by mouth); PCR: Polymerase chain reaction; PD: Progressive disease; PFS: Progression-free survival; PlGF: Placental growth factor; PPE- palmar: Plantar erythrodysesthesia; PR: Partial response; RAS wild-type: Negative for mutations in *KRAS* or *NRAS* exons 2, 3, or 4; RECIST: Response evaluation

criteria in solid tumors; RNA: Ribonucleic acid; RPTD: Recommended phase II dose (RPTD); SD: Stable disease; SD: Standard deviation; TRAE: Treatment related adverse events; UPC: Urine protein to creatinine ratio; VEGF-A: Vascular endothelial growth factor-A; VEGF-C: Vascular endothelial growth factor-C; VEGFR1: Vascular endothelial growth factor receptor 1; VEGFR2: Vascular endothelial growth factor receptor 2; β -HCG: Beta-human chorionic gonadotropin

Acknowledgments

We gratefully acknowledge the invaluable contributions of the patients and their families as well as the Duke University Phase I and GI Oncology Clinical Trials Teams. We thank Dr. Francisco Javier Cordero for his medical writing contribution to this manuscript.

Financial support

This research was supported by Sanofi Genzyme who provided study drug and trial funding.

Authors' contributions

All authors contributed significantly to the manuscript. First draft writing: JHS, AJH, ABN, HIH, AM, CNR. Revision of manuscript: JHS, AJH, ABN. Clinical data collection: JHS, HEU, SDH, SYZ, MAM, DZC, JLW, KLB, PKM, FAR, AJH, HIH, SH. Data analysis and Statistics: JHS, CNR, DN, SH, IA. Study Conception and Design: JHS, FAR, AJH, ABN, CA, EB, HIH. All authors read and approved the final manuscript.

Funding

This study was funded by Sanofi Genzyme. Sponsors did not play any role in the design, data collection, analysis, interpretation, writing and decision to publish the manuscript.

Availability of data and materials

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Ethics approval and consent to participate

This study was approved by the Duke Institutional Review Board and following the guidelines of the Helsinki Declaration. All patients provided informed written consent prior to any study-related procedure and were treated at Duke Cancer Institute.

Consent for publication

Not applicable.

Competing interests

Dr. Blackwell discloses employment at Eli Lilly Corp. Dr. Hurwitz discloses employment, stock holdings, and consulting work with Genentech/Roche, as well receiving trial support from Regeneron. Dr. Nixon discloses receiving consulting fees from Eli Lilly, Kanghong Pharma, GlaxoSmithKline, and Promega, as well as receiving research funding from Acceleron Pharma, Amgen, AstraZeneca/MedImmune, Eureka Therapeutics, Genentech, HTG Molecular Diagnostics, Leadiant Biosciences, MedPacto Inc., Novartis, Seattle Genetics, and Tracoon Pharma. Dr. Strickler discloses receiving research funding from Seattle Genetics, Exelixis, Amgen, AbbVie, Genentech/Roche, and Sanofi Genzyme. Additionally, Dr. Strickler performs consulting and honoraria work for Amgen, Bayer, Chengdu, Kanghong Biotechnology, Genentech/Roche, and Seattle Genetics. Dr. Zafar discloses membership on the advisory boards for AIM Specialty Health, Vivor, LLC, and SamFund. Additionally, Dr. Zafar is on the Board of Directors for the Family Reach Foundation, receives research funding from the NIH, and AstraZeneca, is a board member for Copernicus-WCG IRB, and is a consultant for RTI, McKesson, Discern, LLC, and Quintiles Corporation.

Author details

¹Duke University Medical Center, Box 3216, 30 Duke Medicine Circle, Room #0050, Durham, NC 27705, USA. ²Virginia Oncology Associates, Hampton, VA 23666, USA. ³Lexington Oncology Associates, West Columbia, SC 29169, USA. ⁴Duke Cancer Institute Biostatistics and Bioinformatics, Duke University Medical Center, Durham, NC 27705, USA. ⁵Shattuck Labs, Research Triangle Park, Durham, NC 27709, USA. ⁶Genentech, Inc., South San Francisco, CA 94080, USA.

Received: 28 February 2019 Accepted: 2 October 2019

Published online: 01 November 2019

References

- Global Burden of Disease Cancer C, Fitzmaurice C, Allen C, Barber RM, Barregard L, Bhutta ZA, Brenner H, Dicker DJ, Chimed-Orchir O, Dandona R, et al. Global, Regional, and National Cancer Incidence, Mortality, Years of Life Lost, Years Lived With Disability, and Disability-Adjusted Life-years for 32 Cancer Groups, 1990 To 2015: a systematic analysis for the global burden of disease study. *JAMA Oncol.* 2017;3(4):524–48.
- Grothey A, Van Cutsem E, Sobrero A, Siena S, Falcone A, Ychou M, Humblet Y, Bouche O, Mineur L, Barone C, et al. Regorafenib monotherapy for previously treated metastatic colorectal cancer (CORRECT): an international, multicentre, randomised, placebo-controlled, phase 3 trial. *Lancet.* 2013; 381(9863):303–12.
- Mayer RJ, Van Cutsem E, Falcone A, Yoshino T, Garcia-Carbonero R, Mizunuma N, Yamazaki K, Shimada Y, Taberero J, Komatsu Y, et al. Randomized trial of TAS-102 for refractory metastatic colorectal cancer. *N Engl J Med.* 2015;372(20):1909–19.
- Holash J, Davis S, Papadopoulos N, Croll SD, Ho L, Russell M, Boland P, Leidich R, Hylton D, Burova E, et al. VEGF-trap: a VEGF blocker with potent antitumor effects. *Proc Natl Acad Sci U S A.* 2002;99(17):11393–8.
- Zaltrap Prescribing Information. In: © 2016 sanofi-aventis U.S. LLC.
- Van Cutsem E, Taberero J, Lakomy R, Prenen H, Prausova J, Macarulla T, Ruff P, van Hazel GA, Moiseyenko V, Ferry D, et al. Addition of aflibercept to fluorouracil, leucovorin, and irinotecan improves survival in a phase III randomized trial in patients with metastatic colorectal cancer previously treated with an oxaliplatin-based regimen. *J Clin Oncol.* 2012;30(28):3499–506.
- Miwa M, Ura M, Nishida M, Sawada N, Ishikawa T, Mori K, Shimma N, Umeda I, Ishitsuka H. Design of a novel oral fluoropyrimidine carbamate, capecitabine, which generates 5-fluorouracil selectively in tumours by enzymes concentrated in human liver and cancer tissue. *Eur J Cancer.* 1998; 34(8):1274–81.
- Saltz LB, Clarke S, Diaz-Rubio E, Scheithauer W, Figier A, Wong R, Koski S, Lichinitser M, Yang TS, Rivera F, et al. Bevacizumab in combination with oxaliplatin-based chemotherapy as first-line therapy in metastatic colorectal cancer: a randomized phase III study. *J Clin Oncol.* 2008;26(12):2013–9.
- Cassidy J, Clarke S, Diaz-Rubio E, Scheithauer W, Figier A, Wong R, Koski S, Lichinitser M, Yang TS, Rivera F, et al. Randomized phase III study of capecitabine plus oxaliplatin compared with fluorouracil/folinic acid plus oxaliplatin as first-line therapy for metastatic colorectal cancer. *J Clin Oncol.* 2008;26(12):2006–12.
- Twelves C, Scheithauer W, McKendrick J, Seitz JF, Van Hazel G, Wong A, Diaz-Rubio E, Gilberg F, Cassidy J. Capecitabine versus 5-fluorouracil/folinic acid as adjuvant therapy for stage III colon cancer: final results from the X-ACT trial with analysis by age and preliminary evidence of a pharmacodynamic marker of efficacy. *Ann Oncol.* 2012;23(5):1190–7.
- Hoff PM, Ansari R, Batist G, Cox J, Kocha W, Kuperminc M, Maroun J, Walde D, Weaver C, Harrison E, et al. Comparison of oral capecitabine versus intravenous fluorouracil plus leucovorin as first-line treatment in 605 patients with metastatic colorectal cancer: results of a randomized phase III study. *J Clin Oncol.* 2001;19(8):2282–92.
- Van Cutsem E, Hoff PM, Harper P, Bukowski RM, Cunningham D, Dufour P, Graeven U, Lokich J, Madajewicz S, Maroun JA, et al. Oral capecitabine vs intravenous 5-fluorouracil and leucovorin: integrated efficacy data and novel analyses from two large, randomised, phase III trials. *Br J Cancer.* 2004;90(6):1190–7.
- Bendell JC, Nemunaitis J, Vukelja SJ, Hagenstad C, Campos LT, Hermann RC, Sportelli P, Gardner L, Richards DA. Randomized placebo-controlled phase II trial of perifosine plus capecitabine as second- or third-line therapy in patients with metastatic colorectal cancer. *J Clin Oncol.* 2011;29(33):4394–400.
- Tebbutt NC, Wilson K, Gebbski VJ, Cummins MM, Zannino D, van Hazel GA, Robinson B, Broad A, Ganju V, Ackland SP, et al. Capecitabine, bevacizumab, and mitomycin in first-line treatment of metastatic colorectal cancer: results of the Australasian gastrointestinal trials group randomized phase III MAX study. *J Clin Oncol.* 2010;28(19):3191–8.
- Cunningham D, Lang I, Marcuello E, Lorusso V, Ocvirk J, Shin DB, Jonker D, Osborne S, Andre N, Waterkamp D, et al. Bevacizumab plus capecitabine versus capecitabine alone in elderly patients with previously untreated metastatic colorectal cancer (AVEX): an open-label, randomised phase 3 trial. *Lancet Oncol.* 2013;14(11):1077–85.
- Hurwitz H, Fehrenbacher L, Novotny W, Cartwright T, Hainsworth J, Heim W, Berlin J, Baron A, Griffing S, Holmgren E, et al. Bevacizumab plus irinotecan, fluorouracil, and leucovorin for metastatic colorectal cancer. *N Engl J Med.* 2004;350(23):2335–42.
- Arnold D, Andre T, Bennouna J, Sastre J, Osterlund PJ, Greil R, Cutsem EV, Moos RV, Reyes-Rivera I, Bendahmane B et al. Bevacizumab (BEV) plus chemotherapy (CT) continued beyond first progression in patients with metastatic colorectal cancer (mCRC) previously treated with BEV plus CT: Results of a randomized phase III intergroup study (TML study). *J Clin Oncol* 2012, 30(suppl); abstr CRA3503.
- Giantonio BJ, Catalano PJ, Meropol NJ, O'Dwyer PJ, Mitchell EP, Alberts SR, Schwartz MA, Benson AB 3rd. Eastern cooperative oncology group study E: Bevacizumab in combination with oxaliplatin, fluorouracil, and leucovorin (FOLFOX4) for previously treated metastatic colorectal cancer: results from the eastern cooperative oncology group study E3200. *J Clin Oncol.* 2007; 25(12):1539–44.
- Ferrara N, Kerbel RS. Angiogenesis as a therapeutic target. *Nature.* 2005; 438(7070):967–74.
- Bielenberg DR, Zetter BR. The contribution of angiogenesis to the process of metastasis. *Cancer J.* 2015;21(4):267–73.
- Capecitabine Prescribing Information. In: Edited by Genentech I.
- Haller DG, Cassidy J, Clarke SJ, Cunningham D, Van Cutsem E, Hoff PM, Rothenberg ML, Saltz LB, Schmoll HJ, Allegra C, et al. Potential regional differences for the tolerability profiles of fluoropyrimidines. *J Clin Oncol.* 2008;26(13):2118–23.
- Van Cutsem E, Findlay M, Osterwalder B, Kocha W, Dalley D, Pazdur R, Cassidy J, Dirix L, Twelves C, Allman D, et al. Capecitabine, an oral fluoropyrimidine carbamate with substantial activity in advanced colorectal cancer: results of a randomized phase II study. *J Clin Oncol.* 2000;18(6): 1337–45.
- Pfeiffer P, Yilmaz MK, Moller S, Maltha L, Krogh M, Zitnjak D, Szameitat CL, Hejlesen F, Thomsen GT, Qvortrup C. Randomized study evaluating trifludine/tipiracil (TAS-102) versus + trifludine/tipiracil + bevacizumab as last-line therapy in patients with chemorefractory unresectable metastatic colorectal cancer (mCRC). *J Clin Oncol* 2019, 37(no. 4_suppl):637.

Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.