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Biomarker analysis of cetuximab plus oxaliplatin/leucovorin/5-fluorouracil in first-line metastatic gastric and oesophago-gastric junction cancer: results from a phase II trial of the Arbeitsgemeinschaft Internistische Onkologie (AIO)

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Abstract

Background: The activity of the epidermal growth factor receptor (EGFR)-directed monoclonal antibody cetuximab combined with oxaliplatin/leucovorin/5-fluorouracil (FUFOX) was assessed in first-line metastatic gastric and oesophago-gastric junction (OGJ) cancer in a prospective phase II study showing a promising objective tumour response rate of 65% and a low mutation frequency of *KRAS* (3%). The aim of the correlative tumour tissue studies was to investigate the relationship between *EGFR* gene copy numbers, activation of the EGFR pathway, expression and mutation of E-cadherin, V600E BRAF mutation and clinical outcome of patients with gastric and OGJ cancer treated with cetuximab combined with FUFOX.

Methods: Patients included in this correlative study ($n = 39$) were a subset of patients from the clinical phase II study. The association between *EGFR* gene copy number, activation of the EGFR pathway, abundance and mutation of E-cadherin which plays an important role in these disorders, BRAF mutation and clinical outcome of patients was studied. *EGFR* gene copy number was assessed by FISH. Expression of the phosphorylated forms of EGFR and its downstream effectors Akt and MAPK, in addition to E-cadherin was analysed by immunohistochemistry. The frequency of mutant V600E BRAF was evaluated by allele-specific PCR and the mutation profile of the E-cadherin gene *CDH1* was examined by DHPLC followed by direct sequence analysis. Correlations with overall survival (OS), time to progression (TTP) and overall response rate (ORR) were assessed.

Results: Our study showed a significant association between increased *EGFR* gene copy number (≥ 4.0) and OS in gastric and OGJ cancer, indicating the possibility that patients may be selected for treatment on a genetic basis. Furthermore, a significant correlation was shown between activated EGFR and shorter TTP and ORR, but not between activated EGFR and OS. No V600E BRAF mutations were identified. On the other hand, an interesting trend between high E-cadherin expression levels and better OS was observed and two *CDH1* exon 9 missense mutations (A408V and D402H) were detected.

Conclusion: Our finding that increased *EGFR* gene copy numbers, activated EGFR and the E-cadherin status are potentially interesting biomarkers needs to be confirmed in larger randomized clinical trials.

Trial registration: Multicentre clinical study with the European Clinical Trials Database number 2004-004024-12.

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Background

Gastric cancer was estimated to be the fourth most common cancer and second leading cause of death from cancer worldwide in 2008 [1]. During recent decades, the incidence of oesophago-gastric junction (OGJ) cancer has increased continuously [2]. Metastatic gastric and OGJ adenocarcinomas are characterised by poor prognosis and modest response to chemotherapeutic treatment [3]. Despite recent improvements in the diagnostics and therapy of these dismal diseases, new treatment options are urgently needed to achieve clinical benefits for the patients and improve their survival.

Recent advances in targeted therapy demonstrate the advantage of a combination of trastuzumab, a monoclonal antibody directed against the human epidermal growth factor receptor 2 (HER2), with chemotherapy versus chemotherapy alone in HER2-positive advanced gastric or OGJ cancer [4]. Epidermal growth factor receptor (EGFR) belongs to the same family of receptor tyrosine kinases that plays a pivotal role in the regulation of tumour cell growth and survival. Aside from HER2, EGFR may also be a promising therapeutic target in gastric cancer. Several studies have linked EGFR expression with advanced clinical stage or the presence of distant metastasis and provided evidence that EGFR may have a central role in the pathogenesis and prognosis of gastric and OGJ cancer [5,6].

Cetuximab is a monoclonal human-mouse chimeric antibody that interacts with domain III of the extracellular region of EGFR with a high specificity and inhibits ligand-induced activation [7]. Cetuximab has been approved for the treatment of advanced colorectal cancer and for use in combination with chemotherapy and with radiotherapy for the treatment of squamous cell carcinoma of the head and neck. In the first-line treatment of advanced gastric and OGJ cancer, several phase II studies assessed cetuximab in combination with different chemotherapy regimens, most of them showing promising results with objective response rates ranging from 41 to 65% [8-11].

Cetuximab combined with FUFOX showed a high response rate of 65% in first-line metastatic gastric and OGJ cancer in a prospective phase II study [10]. The expression level of EGFR on tumour cells was not correlated with therapeutic response. The aim of the present study was to investigate the relationship between *EGFR* gene copy status, activation of the EGFR pathway, and the *BRAF* mutation status with clinical outcome. Understanding the molecular basis of therapy response may require the analysis of additional markers such as the cell adhesion protein E-cadherin, which regulates epithelial-mesenchymal transition and has been associated with cetuximab response in preclinical models [12]. Therefore, the abundance of E-cadherin was determined and E-cadherin gene (*CDH1*) mutations were analysed.

Methods

Patient selection

The multicentre clinical study with the European Clinical Trials Database number 2004-004024-12 enrolled 52 patients from seven active centres recruited from April 2005 until March 2006 [10].

As reported earlier [10], eligibility criteria included the following: histologically confirmed metastatic or locally advanced irresectable adenocarcinoma of the stomach or OGJ; age 18 years or older; Eastern Cooperative Oncology Group (ECOG) performance status ≤ 2 ; ≥ 1 unidimensionally measurable lesion ≥ 1 cm in diameter detected by computed tomography (CT) scan or magnetic resonance imaging (MRI); cardiac ejection fraction within normal limits; absolute neutrophil count $\geq 2,000/\mu\text{l}$; thrombocyte count $\geq 100,000/\mu\text{l}$; total bilirubin $\leq 1.5 \times$ upper limit of normal (ULN) and transaminases $\leq 2.5 \times$ ULN; creatinine clearance > 70 ml/min; no previous malignancy and no chemotherapy except in the adjuvant or neoadjuvant setting > 6 months before study entry.

Pretherapeutic tumour material (formalin-fixed and paraffin-embedded) was obtained from 39 patients. Patients presented with advanced disease not amenable to a curative therapeutic approach. Patients gave written informed consent for translational investigations including tumour genetic analyses concerning EGFR pathway-linked genes. Data were acquired with approval from the ethics committee of the Technische Universität München.

Treatment

As reported earlier in detail [10], cetuximab was administered at an initial dose of 400 mg/m^2 on day 1 over 120 mins, followed by weekly doses of 250 mg/m^2 over 60 mins. Oxaliplatin 50 mg/m^2 was given i.v. over 120 min followed by folinic acid 200 mg/m^2 i.v. over 120 min and 5-fluorouracil $2,000 \text{ mg/m}^2$ i.v. over 24 h on days 1, 8, 15, and 22, every 5 weeks (1 cycle). Treatment continued until best response, or until there was evidence of disease progression, unacceptable toxicity, death, or withdrawal of patient consent. Toxicity was graded according to National Cancer Institute Common Toxicity Criteria (NCI-CTC, version 3.0).

Response

Clinical response was determined by computer tomography according to the Response Evaluation Criteria in Solid Tumours (RECIST) as reported earlier [10].

Fluorescence in situ hybridisation

The *EGFR* gene copy status and the ploidy status of chromosome 7 were evaluated in tumour specimen of 36 patients by image-based three-dimensional fluorescence in situ hybridisation (FISH). FISH analysis was performed on $16\text{-}\mu\text{m}$ paraffin sections as previously

described [13,14]. The commercially available LSI EGFR SpectrumOrange/CEP 7 SpectrumGreen Dual Color Probe (Abbott, Wiesbaden, Germany) was applied according to the manufacturer's instructions. Signals were evaluated by optical sectioning and three-dimensional imaging as previously described [15]. Tumours were classified into the following categories with respect to their mean *EGFR* gene copy numbers: (1) < 2.5 (normal), (2) ≥ 2.5 - < 4.0 (low level copy number gain), (3) ≥ 4.0 - < 6.0 (high level copy number gain), (4) ≥ 6.0 (amplification). Tumours were also classified into the following categories with respect to their mean chromosome 7 centromeric signals (*CEP7*) copy numbers: (1) < 2.5, (2) ≥ 2.5 - < 4.0, (3) ≥ 4.0 - < 6.0 and (4) ≥ 6.0 .

Antibodies

The following antibodies were used for immunohistochemistry: anti-phosphorylated EGFR (pEGFR) rabbit polyclonal antibody reacting with EGFR only when phosphorylated at tyrosine residue 1086 (#36-9700, obtained from Zymed Laboratories, distributed by Invitrogen, Karlsruhe, Germany), anti-phosphorylated Akt (pAkt) mouse monoclonal antibody recognising Akt phosphorylated at Ser473 (#4051, Cell Signaling Technology, distributed by New England Biolabs, Frankfurt, Germany), anti-phosphorylated p44/42 MAPK (pMAPK) rabbit polyclonal antibody detecting p44/42 MAPK only when phosphorylated at Thr202/Tyr204 (#9101, Cell Signaling Technology) and anti-E-cadherin mouse monoclonal antibody HECD-1 (# ALX-804-201, Alexis Biochemicals, distributed by Axxora Deutschland GmbH, Lörrach, Germany).

Immunohistochemical analysis

EGFR expression was determined using a standardised immunohistochemistry assay (EGFR pharmDx Dako, Glostrup, Denmark) as reported earlier [10]. A manual staining protocol was used for pEGFR, pAkt, pMAPK and E-cadherin as described in Additional file 1.

Reactivity score and interpretation of the immunohistochemical staining

Evaluation of the immunohistochemical biomarker staining was performed by at least two investigators (A.W. and B.L.) who were unaware of clinical features and survival. The reactivity score and the interpretation of the staining are described in Additional file 1.

DNA extraction

The protocol for DNA extraction is available in Additional file 1.

BRAF mutation analysis

The BRAF hotspot mutation V600E was analysed using allele-specific PCR following an established protocol

[16]. Positive and negative controls which had been determined by direct sequencing were included in this analysis.

CDH1 mutation analysis

Structural alterations of exons 2-16 of the *CDH1* gene were analysed in the tumours of 22 patients by denaturing high performance liquid chromatography (DHPLC) as described previously [17]. Upon detection of aberrant DHPLC patterns, DHPLC analysis was followed by direct sequencing of the PCR products. Additional information is available in Additional file 1.

Statistical analysis

As reported earlier [10], the primary study endpoint was the proportion of patients who responded to cetuximab-FUFOX. The study was designed as a two-stage trial assuming a response rate of $\leq 30\%$ as not being of further interest (null hypothesis) and a response rate of $\geq 50\%$ as interesting (alternative hypothesis). The best observed response was taken as a basis for determination of the primary endpoint and confirmed responses were also reported. Treatment with cetuximab plus FUFOX induced objective tumour responses in 65% of treated patients which was clearly above the threshold for accepting the alternative hypothesis.

The calculation of TTP, OS, duration of treatment and follow-up is explained in Additional file 1. Kaplan-Meier survival time analysis was used to correlate the investigated markers with TTP and OS. Differences in survival between subgroups were compared by log-rank test. Cox regression analysis was performed correlating the biomarkers with TTP and OS. Hazard ratios (HR) calculated by the Cox proportional hazards model were reported with 95% CIs. The association between the biomarkers and ORR or clinical benefit rate (CBR), respectively, was studied using Fisher's exact and χ^2 tests when appropriate. Correlation analysis between the investigated markers was performed using the Pearson test and Spearman's rho test. A two-sided p value less than 0.05 was considered to be statistically significant. The statistical analysis was carried out with SPSS V17.0 software (SPSS Inc., Chicago, IL, USA).

Results

Patient and tumour characteristics and clinical outcome

Patients with advanced gastric and OGJ cancer were a subset of 52 patients who were enrolled in the clinical trial between April 2005 and March 2006. Pretherapeutic tumour material was available from 39 patients. The location of the primary tumour was the OGJ in 22 (56%) patients and other parts of the stomach in the remaining 17 (44%) patients (Table 1). As reported previously, all patients presented with metastatic disease,

with lymph nodes, liver, peritoneum and lung as the predominant metastatic sites [10]. The Laurén classification of the tumours was intestinal in 22 (56%) cases and non-intestinal (diffuse or mixed type) in 17 (44%) cases.

The median OS was 293 days (95% CI, 202 days to 384 days) with a 1-year survival rate of 38%. The median TTP was 230 days (95% CI, 144 days to 316 days) with a probability of remaining progression-free at 1 year of 10%. The overall response rate (ORR; complete response + partial response) of this patient subset was 62% (95% CI: 46-76%), including 3 complete and 20 partial responses. Clinical benefit rate (CBR; complete response + partial response + stable disease) was 81% (95% CI: 66-90%). Median duration of treatment was 106 days; median follow-up was 379 days.

Relationship between *EGFR* gene copy numbers and therapy response

EGFR gene copy numbers and *CEP7* copy numbers were determined by three-dimensional image-based FISH in gastric and OGJ tumours from 36 patients. The range of *EGFR* gene copy numbers was 2.0-8.2 (signals per nucleus). Tumours were classified into four categories with respect to their mean *EGFR* gene copy numbers. The majority of tumours revealed *EGFR* low level copy number gain (56%), while high level copy number gain was less frequent (19%), and amplification was rare (3%) (Figure 1, Table 2). The *CEP7* copy numbers (signals per

nucleus) were in the range of 2.0-6.0. In most of the tumours, low copy number changes for *CEP7* (≥ 2.5 - < 4.0) were observed (61%). The *EGFR/CEP7* ratio was within the range 0.7-1.5. The majority of patients were in the group < 1.1 (61%).

EGFR gene copy numbers were correlated with *CEP7* signals (Pearson test $P < 0.001$; Spearman's rho test $P < 0.001$) and *EGFR/CEP7* ratio (Pearson test $P = 0.013$; Spearman's rho test $P = 0.002$) (Additional file 1: Supplementary Tables S1 and S2).

Increased *EGFR* gene copy number (≥ 4.0) was significantly associated with better OS (log-rank $P = 0.011$; HR 0.2, 95% CI: 0-0.8; $P = 0.022$) (Figure 2, Additional file 1: Supplementary Table S3). Increased *CEP7* gene copy number (≥ 3.0 signals, aneusomy) was also related to OS (log-rank $P = 0.025$; HR 0.4, 95% CI: 0.2-0.9; $P = 0.030$). In contrast, it was not possible to define a cut-off point that demonstrated a relationship between *EGFR/CEP7* ratio and OS.

Copy numbers of the *EGFR* gene and *CEP7* *per se* were not associated with TTP (Additional file 1: Supplementary Table S4). In contrast, the *EGFR/CEP7* ratio was significantly related to TTP using the Kaplan-Meier method (log-rank $P = 0.045$), while the significance level was not reached in univariate Cox regression analysis (HR 0.4, 95% CI: 0.1-1.0; $P = 0.056$). No association was found between *EGFR* and *CEP7* gene copy number and *EGFR/CEP7* ratio with ORR or CBR (Additional file 1: Supplementary Table S5).

Table 1 Patient and tumour characteristics

Characteristic	No. of Patients (n = 39)	%
Age (years)		
Median	63	
Range	38-80	
Gender		
Male	30	77
Female	9	23
ECOG performance status		
0	16	41
1	17	44
2	6	15
Disease status		
Locally advanced	0	0
Metastatic	39	100
Site of the primary tumour		
Oesophago-gastric junction	22	56
Stomach	17	44
Histological classification (Laurén)		
Intestinal	22	56
Non-intestinal (diffuse or mixed)	17	44

Abbreviation: ECOG Eastern Cooperative Oncology Group

Correlation between activation of the EGFR signalling pathway and clinical outcome

Expression levels of the phosphorylated forms of EGFR (pEGFR, Y1086), Akt kinase (pAkt, Ser473) and mitogen-activated protein kinase (pMAPK, Thr202/Tyr204) were determined by means of immunohistochemistry (Additional file 1: Supplementary Table S8). The examination of the EGFR expression level was performed and previously reported [10]. The results obtained by this analysis were included in the present study to search for correlations with the presently analysed biomarkers and clinical outcome. In total, pretherapeutic biopsies of 28 patients were available for the immunohistochemical study. Staining of pEGFR was interpretable in 26 cases (93%), pAkt in 28 cases (100%), pMAPK in 25 cases (89%) and E-cadherin in 25 cases (89%).

Correlation analysis revealed a significant association between EGFR and pEGFR expression ($P = 0.040$, Pearson test) (Additional file 1: Supplementary Table S1). pEGFR staining was not linked to pAkt or pMAPK staining.

No statistically significant association between EGFR, pEGFR, pAkt or pMAPK expression levels and OS was

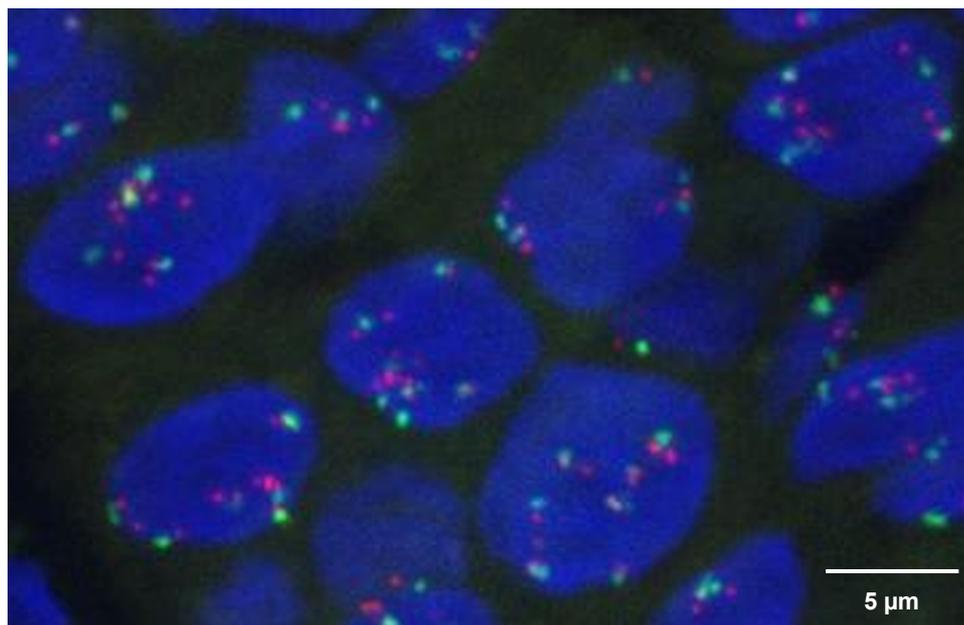


Figure 1 Detection of EGFR gene amplification. EGFR gene amplification (8.2 signals per nucleus) was observed in one patient with OGJ cancer by image-based three-dimensional dual colour FISH analysis for EGFR (red) and chromosome 7 (green).

found (Additional file 1: Supplementary Table S3). A significant correlation was shown between pEGFR and shorter TTP (log-rank $P = 0.018$; HR 4.0, 95% CI: 1.2-13.9, $P = 0.027$), but not between EGFR, pAkt or pMAPK and TTP (Additional file 1: Supplementary Table S4). The pEGFR expression level was associated with ORR but not with CBR (Table 3, Additional file 1: Supplementary Table S5): ORR was 31% in patients with pEGFR-positive tumours and 92% in patients with pEGFR-negative tumours ($P = 0.004$), clinical benefit (CR, PR and SD) was achieved in 92% of patients who presented with pEGFR-negative tumours and 62% of patients with pEGFR-positive carcinomas ($P = 0.160$). Median TTP was 121 days in patients with pEGFR-positive tumours and 291 days in patients with pEGFR-negative tumours ($P = 0.018$). Median OS of patients suffering from pEGFR positive tumours was 285 days

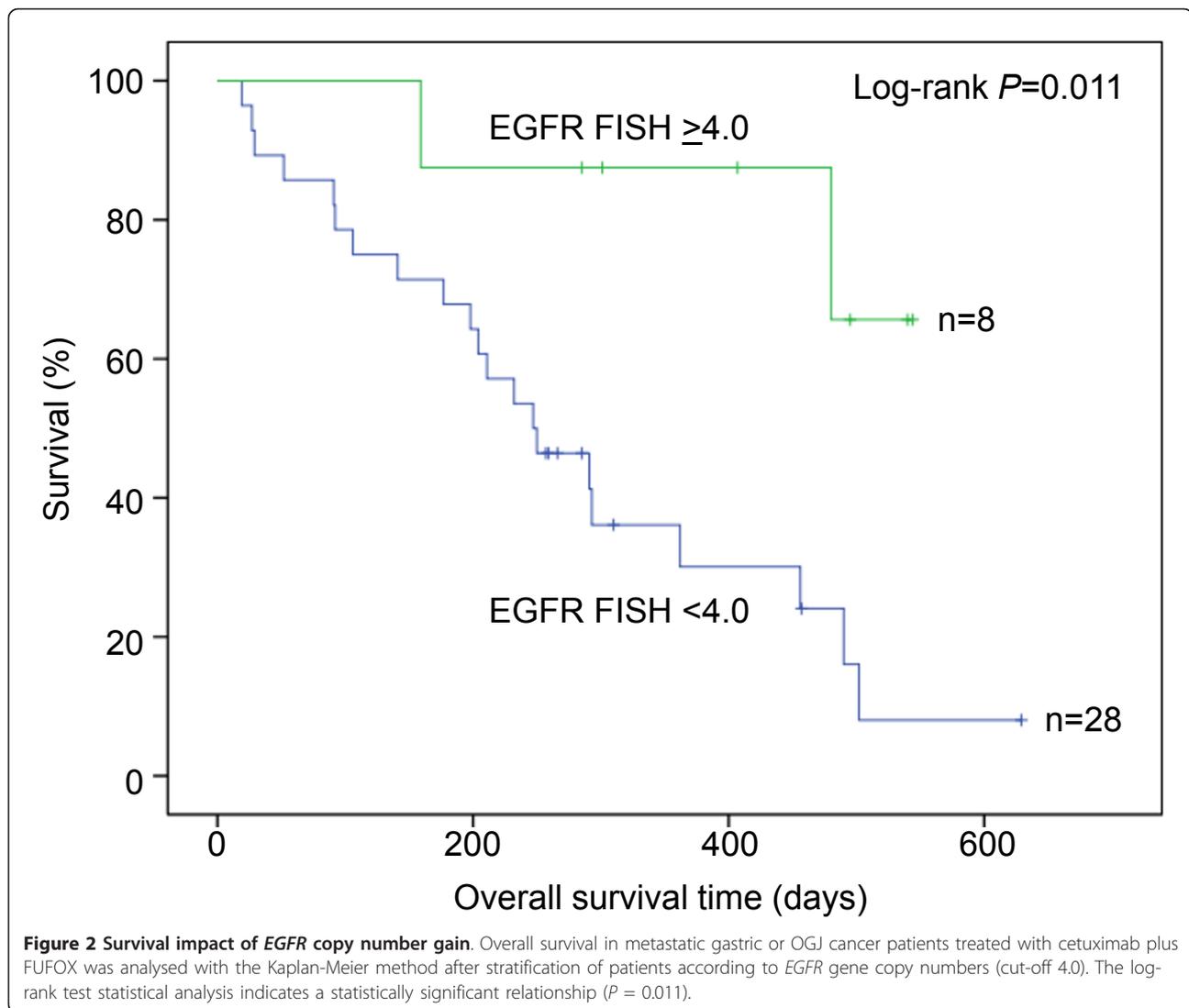
and 490 days in patients with pEGFR-negative tumours ($P = 0.619$).

Oncogenic activation of EGFR downstream effectors in the RAS-RAF-MAPK signalling pathway can negatively influence cetuximab therapy. As reported earlier, KRAS mutations were examined by direct sequencing of exon 2 [10]. One single KRAS mutation at nucleotide position 35 (G > A, Patient 36) that changes codon 12 from glycine to aspartic acid (G12D) was detected in 1 of 32 patients (3%, Table 4). The clinical benefit of the therapy was stable disease, which means that the patient was, by definition, a non-responder (Additional file 1: Supplementary Table S10). This KRAS mutation was previously described in gastric cancer [18]. In addition, the hotspot mutation V600E of the BRAF protein was analysed in the present study using allele-specific PCR. No V600E BRAF mutation was found in 32 patients (Table 4). Together, the mutation

Table 2 EGFR gene copy analysis by three-dimensional FISH

Mean copy number	No. of Patients (%), (n = 36)			
	< 2.5 (normal)	≥ 2.5- < 4.0 (low level)	≥ 4.0- < 6.0 (high level)	≥ 6.0 (amplification)
EGFR	8 (22%)	20 (56%)	7 (19%)	1 (3%)
CEP7	11 (31%)	22 (61%)	2 (6%)	1 (3%)
Ratio	< 1.1	≥ 1.1-1.5		
EGFR/CEP7	22 (61%)	14 (39%)		

Abbreviation: FISH fluorescence in situ hybridisation



frequency of key signalling molecules of the RAS-RAF-MAPK pathway in this patient cohort was very low.

Influence of the E-cadherin expression level or mutation status on treatment outcome

To determine the contribution of expression or mutation of E-cadherin to clinical outcome, immunohistochemical

detection of E-cadherin protein expression and mutation profiling of exons 2-16 of the E-cadherin gene *CDH1* were performed.

An interesting trend between high E-cadherin expression levels and increased OS was observed (log-rank $P = 0.124$; HR 0.3, 95% CI: 0.1-1.5, $P = 0.141$) (Additional file 1: Supplementary Table S3, Figure 3). No

Table 3 Clinical outcome according to pEGFR immunohistochemistry

	pEGFR detectable	pEGFR non detectable	P value
Overall response rate (CR and PR)	31%	92%	0.004*
Clinical benefit rate (CR, PR and SD)	62%	92%	0.160*
Median time to progression	121 days	291 days	0.018**
Median overall survival	285 days	490 days	0.619**

*Fisher's exact test, two-sided

**log-rank P

Table 4 Summary of mutation analysis

Gene	No. of patients	No. of mutations	%
<i>KRAS</i>	32	1	3
<i>BRAF</i>	32	0	0
<i>CDH1</i>	22	2	9

correlations were found between E-cadherin expression and TTP, ORR or CBR.

CDH1 missense mutations in exon 9 were detected in 2 of 22 tumours (9%), and several polymorphisms were found in 4 of 22 tumours (18%) (Additional file 1: Supplementary Table S9). In total, 15 of 22 analysed tumours were of the non-intestinal type (diffuse or mixed type). The *CDH1* missense mutations occurred in 2 out of 15 tumours (13%) of these histological subtypes and were diffuse-type signet cell ring gastric carcinomas. Both mutations were confirmed by sequencing and not

detected in the adjacent normal tissues from the same patients (Figure 4).

The first tumour showed a *CDH1* missense mutation in exon 9 (Patient 27) at nucleotide position c1204 that changes codon 402 from aspartic acid to histidine, thereby affecting the putative calcium-binding motif DAD (DAD > DAH). The second tumour harboured a novel *CDH1* missense mutation in exon 9 (Patient 11) at nucleotide position c1223 that changes codon 408 from alanine to valine.

Both tumours (with *CDH1* missense mutation in exon 9) occurred in two female patients with peritoneal carcinogenesis. Due to early disease progression, their survival times after first infusion with cetuximab were very short (only 27 days for Patient 11 and 29 days for Patient 27). Consequently, the response rate of Patient 11 was not evaluable (Additional file 1: Supplementary Table S10). Patient 27 was classified as a non-responder.

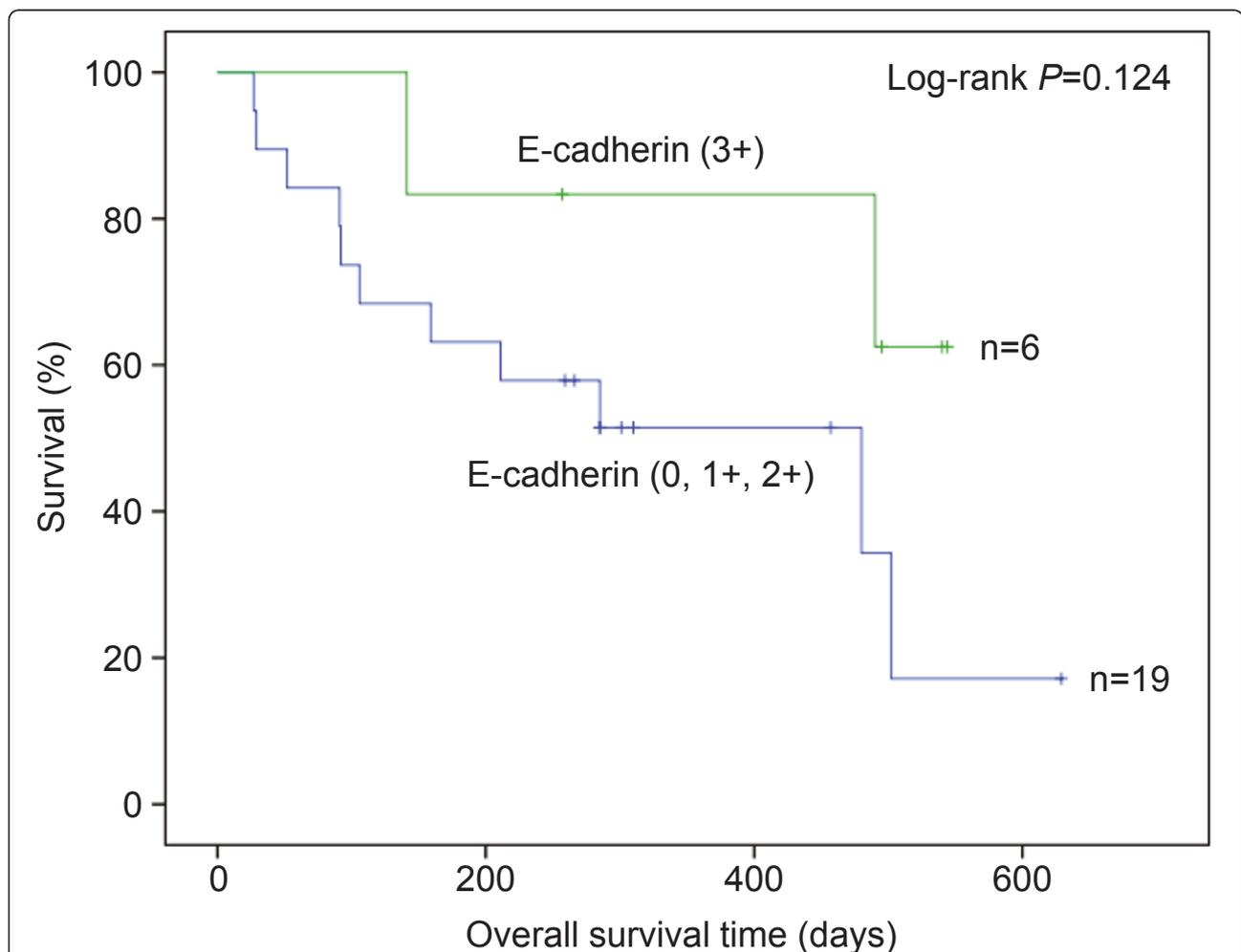
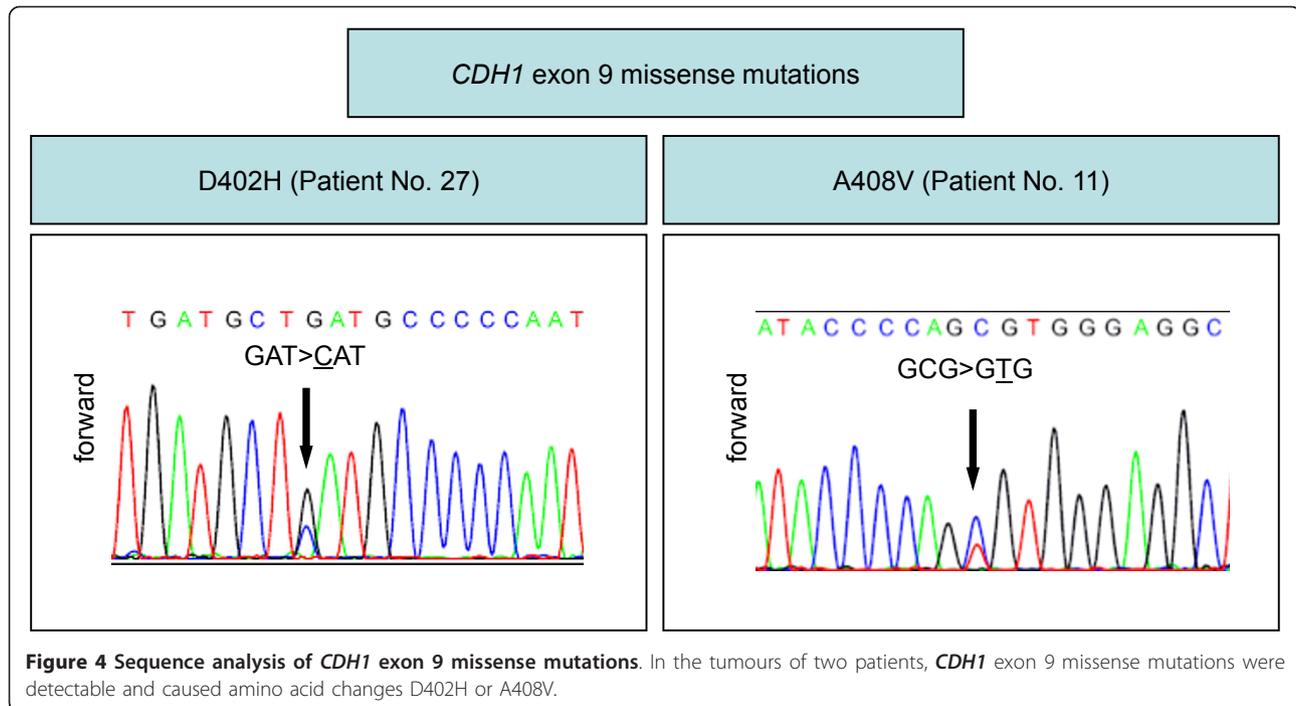


Figure 3 Survival impact of E-cadherin expression. Overall survival in metastatic gastric or OGJ cancer patients treated with cetuximab plus FUFOX was analysed by the Kaplan-Meier method after stratification of patients according to their E-cadherin expression level (score 0, 1+, 2+ versus 3+). The log-rank test statistical analysis indicates a trend between high E-cadherin expression levels and increased OS ($P = 0.124$).



Discussion

Here, we report the results of a correlative research program from a multicentre phase II study of cetuximab plus weekly oxaliplatin, 5-fluorouracil and folinic acid in first-line advanced gastric and OGJ cancer.

Predictive value of *EGFR* gene copy number gain

In gastric and OGJ cancer, the optimal cut-off points to study an association between *EGFR* gene copy number and clinical outcome during cetuximab treatment have not been established. This fact explains the requirement of a statistical search for the best cut-offs by correlating the *EGFR* status with overall patient survival using the log rank test. We found that the optimal cut-off value that separated patients with favourable and unfavourable prognosis was a mean number of 4.0 *EGFR* signals per nucleus.

We provide evidence that increased *EGFR* and *CEP7* copy numbers were associated with better OS of patients with metastatic gastric or OGJ cancer treated with cetuximab and chemotherapy, but it was not possible to define a cut-off point that showed a relationship between *EGFR/CEP7* ratio and OS. Although tumour growth in responsive patients may be closely linked to an increase in *EGFR/CEP7* ratio, the literature in other tumour entities, especially in metastatic colorectal cancer demonstrates the experimental difficulties in finding a valid cut-off [19].

Several studies have shown a relationship between specific molecular alterations of the *EGFR* gene, including somatic mutations and copy number variations, and

the clinical activity of anti-*EGFR* drugs. Somatic *EGFR* mutations have been reported to be the genetic events underlying responsiveness of non-small cell lung cancer to the small molecular *EGFR*-inhibitor gefitinib [20]. Increased copy number of the *EGFR* gene is associated with response to cetuximab in colorectal cancer patients [21]. However, in gastric cancer, *EGFR* gene amplification only occurs in a subset of patients in the range of 2-9% [22-24]. In accordance with these studies, we found a low frequency of *EGFR* gene amplification (3%). Interestingly, we detected a high frequency of *EGFR* low copy gain (56%), which can only be reliably detected by image-based three-dimensional FISH in thick (16 μ m) sections and not by standard FISH in thin (4 μ m) sections, as was used in previous studies [15]. Notably, we found that diploid cases in our study were predominantly of the intestinal type, and in contrast to the situation in colorectal cancer, the diploid genotype was not predictive of therapy response. In accordance with the low prevalence of genetic amplification of the *EGFR* gene in this type of cancer, none of the 38 patients exhibited increased *EGFR* gene copy number in a recent phase II trial of cetuximab in combination with mFOL-FOX6 in gastric and OGJ cancer [25].

Influence of the *EGFR* signalling cascade on patient outcome

Because cetuximab binds to the extracellular domain of *EGFR*, the obvious assumption was that the presence of *EGFR* on gastric cancer cell membranes would be

predictive for response to cetuximab. However, the expression level of EGFR on tumour cells *per se*, as determined by immunohistochemistry, was not predictive of therapeutic response in gastric and OGJ cancer in various phase II trials of cetuximab in combination with chemotherapeutic agents [8,10,25]. This observation is reminiscent of the situation found in colorectal cancer, where immunohistochemical measurement of EGFR expression may not be an accurate predictive factor for response to cetuximab therapy [26]. Notably, advanced gastric cancer patients with EGFR expression in their tumours together with low serum levels of the ligands EGF and transforming growth factor- α showed better response in one study [25]. Recent data on EGFR expression and clinical outcome of patients treated with cetuximab plus irinotecan/leucovorin/5-fluorouracil showed that tumour response was more commonly found in advanced OGJ cancer patients whose tumours expressed EGFR, although EGFR expression was not associated with improved progression-free survival or overall survival [11]. Taken together, the reports on EGFR expression and clinical outcome of gastric and OGJ cancer patients treated with cetuximab plus chemotherapy are not consistent.

Activation of EGFR triggers a signalling cascade that comprises essentially two downstream pathways: the RAS-RAF-MAPK axis is mainly involved in cell proliferation, and the PI3K-Akt axis primarily controls cell survival [27]. The results of the present study suggest that the pEGFR expression level was associated with clinical outcome. We found that in patients with pEGFR-positive tumours, TTP was significantly shorter compared with those patients without detectable pEGFR in their tumours and that the pEGFR expression level was associated with the ORR. However, pEGFR expression was not significantly correlated with OS. Differences in OS may have been blurred by subsequent therapies not containing EGFR-antagonists. In an attempt to better understand the mechanisms of resistance to EGFR inhibitors, cell lines resistant to EGFR inhibitors were reported to reveal elevated levels of pEGFR, pAkt and signal transducer and activator of transcription 3, which were associated with reduced apoptotic capacity [28]. In our study, pEGFR expression was not correlated with pAkt or pMAPK expression, suggesting that activation of the PI3K-Akt cascade and the MAPK pathway occurred independently of EGFR.

Colorectal cancers lacking oncogenic alterations in genes encoding EGFR downstream effectors such as KRAS, BRAF, PIK3CA, and PTEN have the highest probability of response to anti-EGFR therapies [27,29]. In contrast to colorectal cancer, the prevalence of KRAS mutations in gastric cancer is low [22] and consequently, a relationship between KRAS mutations and therapy response is difficult to establish. The frequency of KRAS mutations was between 0 and 9% in several phase II trials of cetuximab in combination

with chemotherapeutic agents in advanced gastric or OGJ adenocarcinoma [9-11,25]. We did not detect any V600E BRAF mutations in our study while the frequency of BRAF mutations was 12% in the aforementioned study of cetuximab plus chemotherapy in advanced OGJ cancer patients [11]. Together, in contrast to the situation in colorectal cancer, oncogenic alterations in genes encoding signalling molecules of the RAS-RAF-MAPK pathway are rare in gastric and OGJ cancer.

Predictive role of E-cadherin expression and somatic CDH1 gene mutations

Activation of EGFR is negatively influenced by E-cadherin-mediated cell adhesion [30]. Therefore, we hypothesised that E-cadherin affects the response to cetuximab plus chemotherapy of gastric and OGJ cancer patients. We found that patients with high expression of E-cadherin in their tumours have an overall survival advantage compared to patients with moderate or low expression. However, this result did not reach statistical significance, presumably due to the low number of investigated patients. In several studies, cancer cell lines with high E-cadherin expression levels were found to be more susceptible to cetuximab or other anti-EGFR agents than cell lines with low E-cadherin levels [12,31].

Because E-cadherin mutations are frequently detected in somatic and germline diffuse-type gastric cancer [17,32], we also decided to assess whether the CDH1 mutation status is crucial to predict clinical outcome. We have previously shown that certain CDH1 mutations have a negative influence on survival of gastric carcinoma patients [33] and that CDH1 mutations are associated with enhanced EGFR activation [34,35].

We detected the CDH1 mutations D402H and A408V in diffuse-type signet cell ring gastric carcinomas, confirming previous observations that CDH1 mutations are associated with diffuse- or mixed-type gastric cancer but not with intestinal gastric carcinoma [32]. The first of these mutations has been described previously at the amino acid level but at a different nucleotide position [36]. The second mutation has not been previously described. The total frequency of CDH1 mutations in exons 2-16 that were observed in our study was 9% for all investigated tumours and 13% when only diffuse and mixed-type gastric carcinomas were taken into account. CDH1 mutation frequencies for advanced diffuse-type gastric carcinomas reported from other studies are 28% [37], 50% [32] and 70% [38]. Due to the low mutation frequency and early disease progression observed here, a correlation between the occurrence of CDH1 mutations and clinical outcome cannot be calculated.

The prognostic significance of E-cadherin expression in gastric carcinoma is under controversial discussion [39,40]. To our knowledge, this study is the first to assess the

abundance and genetic mutation of E-cadherin as biomarkers of response to EGFR-targeted therapy. Larger studies are required to assess the contribution of E-cadherin expression and *CDH1* mutations to clinical outcome of gastric and OGJ cancer patients treated with anti-EGFR therapy.

Conclusions

Specific alterations of the *EGFR* gene, including copy number variations, and oncogenic activation of EGFR downstream effectors such as KRAS and BRAF had been previously reported as the genetic events underlying the response to cetuximab plus chemotherapy in colorectal cancer. Our study showed an association between *EGFR* gene copy number and survival in gastric and OGJ cancer, suggesting that patients may be selected for treatment on a genetic basis. A significant correlation was shown between pEGFR and shorter TTP, but not between pEGFR and OS. On the other hand, an interesting trend between high E-cadherin expression levels and better OS was observed and two *CDH1* missense mutations in exon 9 (A408V and D402H) were identified. However, due to the low mutation frequency and early disease progression, the relationship between the occurrence of *CDH1* mutations and clinical outcome could not be assessed.

Taken together, we consider the *EGFR* gene copy status, activated EGFR and E-cadherin as promising candidate biomarkers. Due to the small number of patients studied, this trial has to be considered as a hypothesis-generating study and the results need to be confirmed in independent randomized clinical trials.

The efficacy of cetuximab plus capecitabine and cisplatin in advanced gastric and OGJ cancer is currently being investigated in the multinational "Erbix in combination with Xeloda and cisplatin in advanced esophago-gastric cancer" (EXPAND) phase III trial (NCT00678535).

Additional material

Additional file 1: The file Supplementary-BMC Cancer in the PDF format contains supplementary results, methods and tables.

Abbreviations

CBR: Clinical benefit rate; CC: Correlation coefficient; CI: Confidence interval; CT: Computed tomography; DHPLC: Denaturing high performance liquid chromatography; ECOG: Eastern Cooperative Oncology Group; EGFR: Epidermal growth factor receptor; FUFOX: Oxaliplatin/leucovorin/5-fluorouracil; HER2: Human epidermal growth factor receptor 2; HR: Hazard ratio; UICC: International Union Against Cancer; MAPK: Mitogen-activated protein kinase; MRI: Magnetic resonance imaging; NCBI: National Center for Biotechnology Information; OGJ: Oesophago-gastric junction; ORR: Overall response rate; OS: Overall survival; pAKT: Phosphorylated Akt/protein kinase B; pEGFR: Phosphorylated epidermal growth factor receptor; PI3K: Phosphatidylinositol 3-kinase; pMAPK: Phosphorylated mitogen-activated protein kinase; PCR: Polymerase chain reaction; RR: Relative risk; TTP: Time to progression; TNM: Tumour node metastasis; ULN: Upper limit of normal

Acknowledgements

We thank Christine Hermannstädter, Susanne Plaschke, Birgit Geist, Ulrike Buchholz and Claudia-Mareike Pflüger from the Institutes of Pathology of the Technische Universität München and the Helmholtz Zentrum München for their excellent technical assistance, Tibor Schuster from the Institute of Medical Statistics and Epidemiology of the Technische Universität München for counselling in statistical data analysis and Nadine Rötthling from the Munich Center for Clinical Studies for her support in conducting the clinical study and obtaining the clinical data. This correlative research project was supported by Merck Darmstadt KGaA Germany by an unrestricted grant. Merck KGaA has reviewed the publication and the views and opinions in the publication do not necessarily reflect those of Merck KGaA.

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Authors' contributions

FL was the principal investigator of the clinical phase II trial. BL and FL designed the molecular analysis. JD carried out the mutation screening. GK supervised the mutation analysis and participated in the sequence alignment. AW, RL and FF were involved in the immunohistochemical analysis, AW and RL evaluated the stainings. AW, SR and ME carried out and interpreted the FISH analysis. SHB, GF, EW, TD, EE, SL, FF, CP and HH were involved in the phase II study, in the collection of tissue samples and in the acquisition and interpretation of clinical data. BL performed the statistical analysis. BL and FL drafted the manuscript. All authors read and improved the final manuscript.

Competing interests

Florian Lordick has received research support and lecture honoraria from Merck KGaA and from Sanofi-Aventis GmbH Germany. Gunnar Folprecht has received honoraria for advisory boards from Merck KGaA, Sanofi-Aventis, Bristol-Myers-Squibb and Roche, lecture honoraria from Merck KGaA, Novartis and Amgen and a study grant from Merck KGaA.

Received: 1 November 2011 Accepted: 7 December 2011

Published: 7 December 2011

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Pre-publication history

The pre-publication history for this paper can be accessed here:
<http://www.biomedcentral.com/1471-2407/11/509/prepub>

doi:10.1186/1471-2407-11-509

Cite this article as: Luber *et al.*: Biomarker analysis of cetuximab plus oxaliplatin/leucovorin/5-fluorouracil in first-line metastatic gastric and oesophago-gastric junction cancer: results from a phase II trial of the Arbeitsgemeinschaft Internistische Onkologie (AIO). *BMC Cancer* 2011 **11**:509.

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