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Molecular heterogeneity and treatment outcome of EGFR exon 20 insertion mutations in Chinese patients with advanced non-small cell lung cancer: insights from a large-scale real-world study

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# **Abstract**

**Introduction** This retrospective study aimed to investigate treatment patterns and outcomes in patients with NSCLC harboring EGFR20ins in China. EGFR20ins mutations are associated with poor responses to EGFR-TKIs, and limited realworld data exist regarding the efficacy of various treatment modalities.

**Methods** In this retrospective, single-center study, treatment outcomes, including PFS and ORR, were evaluated for diferent treatment regimens based on imaging assessments. The impact of mutation heterogeneity on treatment efficacy was also explored.

**Results** Data from 302 patients diagnosed with NSCLC with EGFR20ins were analyzed. EGFR-TKI monotherapy demonstrated suboptimal PFS compared to platinum-based chemotherapy in the frst-line setting (3.00 m vs. 6.83 m, HR=3.674, 95%CI=2.48–5.44, *p*<0.001). Platinum plus pemetrexed plus bevacizumab combination therapy showed improved PFS and ORR compared to platinum plus pemetrexed (7.50m vs. 5.43 m, HR=0.593, 95%CI=0.383–0.918, *p*=0.019). In later-line treatments, monotherapy with EGFR-TKIs or ICIs exhibited suboptimal efficacy. The specific EGFR20ins subtype, A763\_Y764insFQEA, showed favorable responses to EGFR-TKIs in real-world settings.

**Conclusions** This large-scale real-world study provides valuable insights into the treatment patterns and outcomes of NSCLC patients with EGFR20ins mutations in China. These fndings contribute to the understanding of EGFR20ins treatment and provide real-world benchmark for future clinical trials and drug development.

**Keywords** Non-small cell lung cancer, Advanced lung cancer, EGFR mutations, EGFR exon 20 insertions

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### **Introduction**

Lung cancer stands as one of the most prevalent malignancies worldwide, with a staggering incidence of approximately 1.06 million new cases annually in China [[1\]](#page-10-0). In the realm of non-small cell lung cancer (NSCLC), patients harboring actionable driver mutations have entered a new era dominated by molecular targeted therapies. Among these, deletions in exon 19 or missense mutations in exon 21 of the Epidermal Growth Factor Receptor (EGFR) gene are the most common oncogenic drivers, accounting for 85% to 90% of all EGFR mutations [[2\]](#page-11-0). These classical mutations are recognized as strong predictors of sensitivity to existing EGFR tyrosine kinase inhibitors (EGFR-TKIs) [\[2](#page-11-0), [3\]](#page-11-1).

Within the spectrum of EGFR mutations, insertions in EGFR exon 20 (EGFR20ins) constitute approximately 4% to 12% of all EGFR mutations  $[4, 5]$  $[4, 5]$  $[4, 5]$  $[4, 5]$  $[4, 5]$ . These insertion mutations are generally associated with poor responses to EGFR-TKIs, exhibiting resistance to frst, second or third-generation EGFR-TKIs in most cases [[2\]](#page-11-0). Patients carrying these mutations typically have a signifcantly worse prognosis and limited therapeutic options. Recognizing the challenging nature of these mutations, recent advances have sought to expand the arsenal of treatments specifcally targeting EGFR20ins. Among the scarce treatment alternatives, mobocertinib, amivantamab have demonstrated some efficacy in the second-line or beyond treatment settings [[6,](#page-11-4) [7](#page-11-5)]. However, in clinical research, the scarcity of EGFR20ins mutations contributes to the paucity of real-world data regarding the efficacy of various treatment modalities. Consequently, the establishment of frst-line standard treatment strategies for EGFR20ins is fraught with challenges, leaving a considerable void in terms of comprehensive, large-scale realworld studies.

In addition to investigating therapeutic measures targeting the entire EGFR20ins cohort, the heterogeneity present within exon 20 itself also warrants further exploration. The specific subtype with EGFR exon 20 A763\_ Y764insFQEA has been demonstrated to be sensitive to frst and second-generation EGFR-TKIs in both in vitro and in vivo experiments, as well as in case reports  $[8-10]$  $[8-10]$ . Furthermore, the G770 equivalent variant has similarly been reported to exhibit sensitivity to frst and secondgeneration TKIs, fndings supported by computational simulations and a limited number of case reports [\[8](#page-11-6), [11](#page-11-8)]. Given the limited clinical real-world data and contentious efficacy of existing treatments, a substantial gap exists in large-scale real-world studies in this feld, necessitating further discussion.

This study endeavors to provide more precise clinical reference data for NSCLC patients with EGFR20ins, and to offer valuable insights for future drug development and clinical trial design, providing an essential real-world benchmark for ongoing clinical trials. This retrospective analysis represents the largest study to date, assessing real-world patient characteristics, treatment patterns, and clinical outcome of NSCLC patients with EGFR20ins mutations in China.

# **Method**

This study is a retrospective, single-center study focusing on patients with advanced or metastatic non-small cell lung cancer harboring EGFR exon 20 insertions. Data Extraction Criteria: (1) histological diagnosis of NSCLC; (2) Confrmation of EGFR20ins via Polymerase Chain Reaction (PCR) or Next-Generation Sequencing (NGS); (3) diagnosis of advanced or metastatic cancer. Cases that were unable to be evaluated for treatment outcomes owing to incomplete data by imaging assessments were excluded. Criteria for data exclusion include: (1) Absence of baseline imaging assessments at the initiation of therapy to confirm treatment efficacy; (2) Images such as CT scans or MRIs that do not have sufficient quality to clearly identify tumor characteristics. Medical records including PCR or NGS sequencing results, treatment history and imaging examination reports was collected via Sun Yat-Sen University Cancer Center hospital information system, online questionnaires and long-time telephone follow-up. The study received approval from the Ethics Committee of Sun Yat-Sen University Cancer Center. All patients voluntarily participated in this study and provided informed consent for data collection.

In this study, progression-free survival (PFS) was defned as the time from the initiation of a specifed treatment regimen to the frst documented evidence of disease progression or death from any cause. Treatment response was evaluated using Response Evaluation Criteria in Solid Tumors version 1.1 (RECIST 1.1) to identify a response of complete response (CR), partial response (PR), stable disease (SD), or progressive disease (PD). For the calculation of the 95% confdence intervals (CIs) for the Objective Response Rate (ORR) across diferent generations of TKIs, owing to the limited sample sizes, we employed the Wilson procedure with a continuity correction. Cases that had not experienced progression at the data cutoff date (August 1, 2023) or missing at follow-up were censored. For a small subset of patients who transitioned to a diferent treatment regimen without clear radiological confrmation of disease progression, PFS was censored at the time of the last radiological assessment prior to the change in treatment, minimizing the confounding efects of subsequent therapies.

Statistical analyses were conducted using R software, version 4.2.1. The chi-square test was employed to assess statistical differences in ORR among groups. Survival differences, specifically PFS across various subgroups, were estimated using the Kaplan–Meier method. To ensure statistical rigor, Bonferroni adjustment was employed for multiple comparisons. Hazard factors affecting PFS of patients were assessed using Cox proportional hazards models. Variables that achieved a *p*-value of less than 0.10 in univariate analyses, along with those factors of clinical significance, were subjected to subsequent multivariate Cox regression analysis to identify independent risk factors. *P* values < 0.05 at two-sided were considered statistically significant.

### **Results**

# **General characters and mutation map**

Data from a total of 302 patients with EGFR20ins were incorporated into the analysis, yielding 856 treatment regimens across various lines of therapy, along with detailed information on treatment outcomes. Patients who with incomplete data by imaging assessments were excluded  $(n=63)$ . The initial diagnosis of these patients ranged from November 2008 to February 2023, with a follow-up cut-off date of August 1, 2023. The cohort had an average age of 57.35 years (range, 23 to 83 years), with 178 females (58.9%) and 124 males (41.1%). All patients were initially diagnosed with advanced or metastatic cancer (stage III, *n*=53; stage IV, *n*=249 patients). Among them, 74 patients (24.5%) presented with brain metastasis at the time of diagnosis. The histological diagnoses included 293 patients (97.0%) with adenocarcinoma, 6 patients (2.0%) with adenosquamous carcinoma, and 3 patients (1.0%) with squamous carcinoma. Detailed baseline characteristics are shown in Table [1.](#page-2-0) Among 257 patients with detailed insertion site information for EGFR20ins, 62 distinct insertion subtypes were identifed. Classifed by the relative insertion location within EGFR exon 20  $[12]$  $[12]$ , there were 14 patients with insertions in the helical (D761-M766) region, 198 in the near loop region (A767-P722), and 45 in the far loop region (H773-C775). The four most common insertion variants, based on frequency, were V769\_D770insASV, D770\_ N771insSVD, A763\_Y764insFQEA, and H773\_V774insNPH, with 91, 27, 14, and 11 patients, respectively. A comprehensive display of all mutations is shown in Fig. [1.](#page-3-0)

# **First‑line treatment outcomes**

After omitting cases undergoing clinical trial treatments  $(n=23)$ , a cohort of 279 patients harboring EGFR20ins received the following treatment regimens: EGFR-TKIs monotherapy (*n*=53), platinum combined with pemetrexed and bevacizumab (*n*=66), platinum in conjunction with immunotherapy agents (*n*=20), platinum plus pemetrexed (*n*=80), other platinum-based

### <span id="page-2-0"></span>**Table 1** Characteristics of study patients



chemotherapy regimens  $(n=35)$ , and other less common regimens (*n*=25). Detailed treatment patterns are presented in Table [2.](#page-4-0)



<span id="page-3-0"></span>**Fig. 1** Frequency and distribution of EGFR 20 insertions among all patients in this study(*n*=302). The numerical values beneath the columnar diagram represent the count of individuals associated with each specifc insertion variant

We observed that patients receiving platinum-based chemotherapy (*n*=201) exhibited superior PFS compared to those treated with single-agent EGFR-TKIs  $(n=53)$ , with a median PFS of 6.83 (95%CI=6.00–7.50) months versus  $3.00$  (95%CI=2.10–4.07) months, respectively ( $p < 0.001$ ), and an ORR of 34.3% (69/201) versus  $24.5\%$  $24.5\%$  (13/53). (Fig. 2A) The univariate analysis included covariates such as sex, age, the presence of central nervous system metastases at diagnosis, disease stage at diagnosis, specifc amino acid insertion sites in EGFR exon 20, TP53 mutation status, ECOG PS scores and specifc regimen used. Figure [2C](#page-5-0) provides a detailed presentation of the results of both univariate and multivariate analyses. Multivariate analysis indicated that, compared to patients treated with platinum-based chemotherapy, those receiving EGFR-TKI monotherapy had a signifcantly increased risk of progression or death (HR=3.674, 95% CI=2.480–5.442, *p*<0.001).

We delved into the efficacy of various platinum-based regimens in the frst-line treatment setting, including platinum plus pemetrexed plus bevacizumab, platinum-based chemotherapy plus immune oncology agents, and platinum plus pemetrexed. Their respective median PFS were  $7.50(95\%CI = 5.87-9.50)$  months,

6.50(95%CI=6.00-NA) months, and  $5.43(95\%CI=4.63-$ 8.00) months, with corresponding ORRs of 45.5%(30/66), 45.0%(9/20), and 21.2%(17/80). Notably, in multiple comparisons among the three chemotherapy groups, only the combination of platinum with pemetrexed and bevacizumab showed statistically signifcant improvements in both median PFS (7.50 months vs. 5.43 months, *p*=0.016) and ORR (45.6% vs. 21.3%, *p*=0.0018) compared to platinum-based chemotherapy with pemetrexed alone (Fig. [2B](#page-5-0)). Additional baseline information of patients treated with or without bevacizumab can be found in Supplement Table 1. The effects of immunotherapy in both frst-line and subsequent lines of therapy will be elaborated in subsequent sections. Similar univariate and multivariate analyses were performed for both the combination of platinum with pemetrexed and bevacizumab group and the platinum-based chemotherapy with pemetrexed group (Fig.  $2D$  $2D$ ). The incorporation of bevacizumab into platinum-based chemotherapy with pemetrexed was signifcantly associated with improved PFS (HR=0.593, 95%CI=0.383–0.918, *p*=0.019). Additionally, subgroup comparisons were conducted between two groups (platinum-based chemotherapy vs. TKI monotherapy, Platinum+Pemetrexed with Bevacizumab

### <span id="page-4-0"></span>**Table 2** Treatment pattern of EGFR20ins patients



vs. without Bevacizumab). Details of the results can be found in Supplementary Fig. 1. Treatment outcomes of other platinum-based chemotherapy regimens (*n*=35), and other less common regimens (*n*=25) in frstline treatment can be found in Supplement Table 2.

### **Treatment outcomes for second‑line and subsequent therapies**

In the cohort, second  $(n=173)$  and third-line or beyond treatments (*n*=159) predominantly comprised monotherapy with traditional TKIs. In the second-line setting, monotherapy TKIs (*n*=49) demonstrated a median PFS of  $3.07(95\%CI = 2.13-4.87)$  months and an ORR of 24.5% (12/49). Similarly, in the third-line or beyond setting, monotherapy TKIs (*n*=47) exhibited a lower median PFS of 2.5 months ( $95\%CI = 2.03-4.07$ ) and a reduced ORR of 8.5% (4/47). Other treatment strategies in the second and third-line or beyond settings include immune checkpoint inhibitors monotherapy, platinum-based chemotherapy, albumin-bound paclitaxel-based systemic chemotherapy, and maintenance therapies. Variations in survival outcomes were assessed among these diferent treatment approaches, generally associated with poor outcomes. Given the heterogeneity of treatment modalities in realworld clinical practice among patients with EGFR20ins, the analysis was concentrated on treatments received by fve or more patients, with comprehensive PFS and ORR data detailed in Table [3](#page-6-0). Among these, platinum-based chemotherapy was associated with the longest median PFS.

# **Real‑world efectiveness of EGFR‑TKIs in patients with EGFR exon 20 insertions**

The real-world efficacy of first-, second-, and third-generation EGFR-TKIs was objectively reported across various lines of therapy in terms of PFS and ORR. In the frstline (1L) setting, the data suggest that monotherapy with EGFR-TKIs exhibits inferior efficacy compared with platinum-based chemotherapy regimens. Moving to secondline (2L) and third-line (3L) therapies, evidence outlined in Table [4](#page-7-0) reinforces the view that monotherapy with EGFR-TKIs continues to be suboptimal.

Furthermore, we explored the real-world efects of various drugs in combination with EGFR-TKIs. Detailed reports on the efficacy of these combination therapies in treating NSCLC patients with EGFR20ins mutations are currently scarce. Herein is a comprehensive analysis of diferent therapeutic combinations and their outcomes in a real-world setting. The combination treatment regimens in our cohort included chemotherapy regimens alternated with targeted therapy (*n*=22), combination therapy of EGFR-TKI with bevacizumab  $(n=16)$ , concurrent TKIs treatment $(n=7)$  and other combination therapies  $(n=4)$ . Intriguingly, supplementing EGFR-TKI treatment with bevacizumab, in contrast to TKI monotherapy, resulted in a numerical improvement in both PFS 6.27 months  $(95\%CI = 4.67-NA)$  and ORR 18.8%(3/16), respectively. The PFS and ORR of these regimens are presented in Supplement Table 3.

# **The role of immuno‑oncology treatment in the management of EGFR20ins patients**

The roles of immune checkpoint inhibitors (ICIs) across various therapeutic regimens were evaluated. Our analysis encompassed 71 patients who received ICIs as part of their treatment strategy. The most commonly used ICI was Pembrolizumab  $(n=45)$ , followed by other immunotherapeutic agents such as sintilimab  $(n=11)$ , nivolumab  $(n=8)$ , among others. For the purposes of this analysis, all immunotherapeutic agents targeting the PD-1/PD-L1 axis were categorized together, with a detailed list of the specifc immunotherapeutic agents provided in Supplement Table 4. The majority of findings are summarized as follows: ICIs Monotherapy(*n*=18): median PFS=5.13



<span id="page-5-0"></span>**Fig. 2 A** Kaplan–Meier Survival Curves for Progression-Free Survival among Patients Treated with First-Line Platinum-Based Chemotherapy or EGFR-TKI Monotherapy. **B** Median Progression-Free Survival Comparison between Patients Receiving First-Line Chemotherapy with and without Bevacizumab. **C** Univariate and Multivariate Cox Proportional Hazards Analyses for Patients Undergoing First Line Platinum-Based Chemotherapy or EGFR-TKI Monotherapy. **D** Univariate and Multivariate Cox Proportional Hazards Analyses for Patients receiving First-Line chemotherapy, comparing those with and without Bevacizumab Administration. In this fgure, CNS denotes the central nervous system

months (95%CI = 2.63-NA), ORR = 22.2% (4/18); Paclitaxel + ICI combination( $n = 10$ ): median PFS = 9.40 months ( $95\%CI = 2.97$ -NA), ORR =  $50\%$  ( $5/10$ ); Platinum + Pemetrexed + Bevacizumab + ICI (*n* = 5): median PFS  $= 4.70$  months (95%CI = 4.70-NA), ORR = 40% (2/5). Platinum+Pemetrexed+ICI(*n*=12): median PFS=10.90 months (95%CI=5.03-NA), ORR=41.7% (5/12). The concurrent use of platinum and pemetrexed with ICIs demonstrated modestly encouraging results in terms of median PFS. In frst-line treatment, 11 patients utilized a regimen of platinum+pemetrexed+ICIs (9 pembrolizumab, 1 sintilimab, 1 nivolumab), exhibiting a median PFS of 10.9 months  $(95\%CI = 5.03-NA)$  and an ORR of 45.5% (5/11). This regimen is numerically superior to the outcomes of platinum+pemetrexed+bevacizumab, albeit without statistical significance (univariate Cox,  $HR=0.942$ ,  $95\%$  CI $=0.397 2.236, p=0.892$ ).

# **Heterogeneity in the secondary structure of EGFR exon 20 insertions**

For patients who had insertions in the loop region of EGFR exon 20 (A767-C775) treated with TKI monotherapy  $(n=109)$ , we analyzed the impact of secondary structure of EGFR exon 20 on TKI monotherapy outcomes, as well as the diferences in PFS associated with specifc insertion sites and targeted therapy strategies. Among

<span id="page-6-0"></span>**Table 3** Efectiveness of Various Therapeutic Strategies in Second-Line and Beyond Treatments

Regimen	Count	median PFS(95%CI) months	ORR(95%CI) %
<b>Secondline Treatment</b>			
Platinum + Pemetrexed	9	$6.13$ (4.70-NA)	$11.1(0.5-49.3)$
Platinum + Paclitaxel + Bevacizumab	8	$6.03$ (3.90-NA)	$25.0(4.5-64.4)$
Bevacizumab + EGFR-TKI	7	5.33 (4.67-NA)	$28.6(5.1-29.7)$
Platinum + Pemetrexed + Bevacizumab	21	$5.27(3.43 - 16.27)$	$14.3(3.8 - 37.4)$
Paclitaxel + Bevacizumab	9	5.13 (2.80-NA)	$22.2(4.0-59.8)$
IO monotherapy	10	4.20 (2.30-NA)	40.0 (13.7-72.6)
EGFR-TKI monotherapy	49	$3.07(2.13 - 4.87)$	24.5 (13.8-39.2)
Platinum + Paclitaxel	10	2.80 (1.00-NA)	$30.0 (8.1 - 64.6)$
$Paclitaxel + IO$	6	$NA^a$	66.7 (24.1-94.0)
<b>Thirdine or beyond Treatment</b>			
Platinum + Pemetrexed + Bevacizumab	10	8.00 (2.57-NA)	$10.0(0.5 - 45.9)$
Paclitaxel + Bevacizumab	$\overline{7}$	5.10 (1.33-NA)	$14.3(0.8 - 58.0)$
Bevacizumab + EGFR-TKI	6	5.03 (3.00-NA)	$\Omega$
nonEGFR-TKI monotherapy	12	4.17 (1.93-NA)	41.7 (16.5-71.4)
Platinum + Paclitaxel + Bevacizumab	5	4.03 (1.50-NA)	$\Omega$
IO monotherapy	5	2.97 (1.20-NA)	$\Omega$
EGFR-TKI monotherapy	47	$2.50(2.03 - 4.07)$	$8.5(2.8-21.3)$

<sup>a</sup> Median PFS is not available (NA) due to a limited number of events observed

patients treated with TKI monotherapy, two achieved a best response of complete response (CR), both of whom had EGFR 20ins at the D770\_N771 site in the near loop, one with D770\_N771insG, and the other with D770\_ N771insSVD, treated with erlotinib and osimertinib, respectively. The D770\_N771insX site  $(n=27)$  showed numerically better efectiveness compared to other loop region EGFR20ins patients  $(n=82)$ , with median PFS of [3.73 m, 2.93 m, *p*=0.52] and ORR of [25.9% (7/27), 15.9% (13/82), *p*=0.50], albeit without statistical significance.

Among the collected data, a total of 29 patients harbored the unique A763\_Y764insFQEA insertion subtype and G770 equivalent variants,  $(n=14$  and  $n=15$ , respectively), with 14 of these patients receiving EGFR-TKIs therapies. Given the demonstrated efficacy of first or second-generation EGFR-TKIs against these particular EGFR20ins subtypes in both in vitro experiments and real world case reports  $[9, 11]$  $[9, 11]$  $[9, 11]$  $[9, 11]$  $[9, 11]$ , we outlined real-world treatment strategies, corresponding real-world PFS, and other relevant data for patients carrying this specifc insertion type, as detailed in Table [5](#page-8-0). Patients with the A763\_Y764insFQEA variant treated with EGFR-TKI based therapies showed an ORR of 50% (8/16), whereas those with the G770 equivalent variant demonstrated an ORR of 0% (0/6).

In regards to the efficacy heterogeneity between patients using EGFR-TKIs monotherapy for the near loop (A767-P722, *n*=93) and far loop mutations(H773-C775,  $n=16$ ), a statistically significant difference in PFS (2.93m) vs. 3.03m, *p*=0.85) and ORR (19.4% vs. 12.5%, *p*=0.73) was not observed.

# **Discussion**

Following the report by Ou [[13\]](#page-11-11) on the real-world treatment outcomes of 237 American patients with EGFR20ins and the identifcation of 263 EGFR20ins patients among 14,483 NSCLC patients by Riess [\[14](#page-11-12)], herein we present the largest real-world data concerning NSCLCs harboring EGFR exon 20 insertions in China. This cohort is notably characterized by its limited responsiveness to conventional EGFR-TKI therapy. Our fndings further highlight the heterogeneity of EGFR20ins mutations and their impact on treatment efficacy on a larger scale. This provides a comprehensive analysis of the characteristics and responses to treatment modalities currently available.

In the realm of NSCLC treatment, established treatment protocols exist for targeted therapy against EGFR mutations, including exon 19 deletions and the L858R point mutation in exon 21, leading to favorable therapeutic results based on EGFR-TKIs [[2–](#page-11-0)[4\]](#page-11-2). In contrast, EGFR20ins mutations typically exhibit poor responsiveness to existing EGFR-TKIs, and patients with these mutations have poorer prognoses, with efective frst-line treatment options still under exploration [\[4](#page-11-2)].

For frst-line treatment of patients with EGFR20ins mutations, our real-world study, through multivariate

<span id="page-7-0"></span>**Table 4** Efficacy of EGFR-TKI Monotherapy Across Different Lines of Therapy

	<b>TKI Generation</b>	Count	median PFS (95%CI) months	ORR (95%CI) %				
any line EGFR-TKI monotherapy								
Gen1:	Gefitinib	18	2.10 (0.80-3.40)	$11.1 (2.0 - 36.1)$				
	Icotinib	11	2.50 (1.54-3.46)	18.2 (3.2 - 52.2)				
Gen2:	Afatinib	48	3.67 (2.85-4.48)	25.0 (14.1-40.0)				
	Dacomitinib	$\mathfrak{D}$	NA^	0				
Gen3:	Osimertinib	60	3.00 (2.14-3.86)	16.7 (8.7 - 29.0)				
	Almonertinib	3	2.10 (NA -NA)	33.3 (1.8 - 87.5)				
	1L EGFR-TKI monotherapy							
Gen1:	Gefitinib	12	3.13 (0.94-5.33)	$8.3$ $(0.4 - 40.2)$				
	<b>Icotinib</b>	9	$2.03(1.55-2.52)$	22.2 (4.0 - 59.8)				
Gen2:	Afatinib	13	4.07 (1.17-6.96)	38.5 (15.1-67.7)				
	Dacomitinib	0						
Gen3:	Osimertinib	17	3.00 (1.56-4.44)	23.5 (7.8 - 50.2)				
	Almonertinib	$\mathfrak{D}$	NA^	50.0 (2.7 -97.3)				
	2L EGFR-TKI monotherapy							
Gen1:	Gefitinib	2	NA^	50.0 (2.7 -97.3)				
	<b>Icotinib</b>	1	NA^	$\Omega$				
Gen2:	Afatinib	20	2.80 (1.37-4.23)	25.0 (9.6 - 49.4)				
	Dacomitinib	1	$0.60$ (NA -NA)	0				
Gen3:	Osimertinib	20	3.20 (1.62-4.79)	20.0 (6.6 - 44.3)				
	Almonertinib	$\Omega$						
	> 3L EGFR-TKI monotherapy							
Gen1:	Gefitinib	4	2.02 (0.53-NA)	$\Omega$				
	<b>Icotinib</b>	1	2.50 (NA -NA)	$\Omega$				
Gen2:	Afatinib	15	3.73 (2.03-5.83)	13.3 (2.3 -41.6)				
	Dacomitinib	1	NA^	$\Omega$				
Gen3:	Osimertinib	23	2.50 (2.00-5.73)	8.7 (1.5 - 29.5)				
	Almonertinib	1	$NA^{\wedge}$	0				

^Median PFS is not available (NA) due to a limited number of events observed

Cox proportional hazards regression analysis, confrmed that platinum-based chemotherapy signifcantly prolonged the median PFS in comparison to conventional EGFR-TKIs monotherapy. This study provides real-world evidence supporting the use of platinum-based chemotherapy over traditional EGFR-TKIs as a frst-line treatment option for patients with EGFR20ins mutations [[15\]](#page-11-13). For the role of bevacizumab in platinum based chemotherapy, consistent with Yang's real-world study on first-line chemotherapy  $[16]$  $[16]$  $[16]$ , which showed that adding bevacizumab to platinum-based chemotherapy could numerically extend the PFS of patients with EGFR20ins mutations (7.5 m vs 5.6 m), our study verifed that the combination of bevacizumab with platinum and pemetrexed signifcantly extends PFS (median PFS=7.50 months, HR=0.593, 95% CI=0.383–0.918, *p*=0.019),

ofering a new direction for future standard frst-line treatment strategies.

The role of immunotherapy in patients with NSCLC harboring EGFR driver mutations remains contentious  $[17–21]$  $[17–21]$  $[17–21]$ , with few reports specifically addressing its efficacy in those with EGFR20ins. A real-world study in 2020 documented the outcomes of immunotherapy in ten patients with EGFR20ins, noting that only two individuals received pembrolizumab as monotherapy in a secondline setting, both demonstrating very short PFS [\[16\]](#page-11-14). In addition to the limited data previously reported, more recent studies further illuminate the real-world efectiveness of immunochemotherapy in patients harboring EGFR20ins. One study involved a total of 31 patients (EGFR20ins, *n*=15; ERBB2ex20ins, *n*=16), comparing their outcomes to a cohort of 141 patients without targetable mutations (EGFR or ALK aberrations) [\[20](#page-11-17)]. Notably, despite a similar ORR between the two cohorts (48.4% for the EGFR20ins group versus 53.2%, *p*=0.628), the DCR was signifcantly lower in the EGFR20ins group (77.4% compared to 91.5%,  $p=0.024$ ). Furthermore, the PFS was markedly poorer in the Ex20ins group, with a median PFS of only 5.0 months (95% CI: 4.4–5.6) compared to 11.2 months (95% CI: 8.9–13.7, *p*<0.001) in the non-mutated cohort when receiving immunochemotherapy. Focusing on patients harboring EGFR20ins, another study reported on 39 patients treated with ICI monotherapy or immunochemotherapy compared to 183 patients receiving non-immunotherapy treatments [[21\]](#page-11-16). The median overall survival  $(OS)$  was 29.0 months (95% CI: 19.3–44.3) for the immunotherapy group, versus 14.6 months (95% CI: 10.1–18.4) for those receiving nonimmunotherapy. Both univariate and multivariate analyses indicated statistically signifcant favorable outcomes for patients undergoing immunotherapy.

In our retrospective cohort, while the efficacy of single ICI treatment appears limited, combination immunotherapy has shown more favorable outcomes. The regimen combining platinum, pemetrexed, and an IO agent  $(n=11)$  in the first-line setting demonstrated a median PFS of 10.9 months  $(95\%CI = 5.03-NA)$  and an ORR of 45.5% (5/11). However, given the relatively small sample size, outliers may signifcantly impact the results, necessitating cautious interpretation of the aforementioned fndings. Moreover, the potential synergistic efects of such treatment combinations must be balanced against their toxicity to avoid compromising the overall treatment outcomes. Besides, within our cohort, the expression levels of PD-L1 in patients were largely absent. Whether the EGFR20ins subgroup could indeed beneft from ICI-based combination therapy as a frst-line treatment remains an area for further investigation.

ID	Exon 20 insertion variant EGFR-TKI		Regimen	PFS(months)	Lines of <b>Treatment</b>	<b>Best Response</b>
	<b>FEQA</b> variant					
	A763 Y764insFQEA	Afatinib	Bevacizumab + EGFR-TKI	11.70	$\overline{2}$	<b>PR</b>
2	A763 Y764insFQEA	Afatinib	Bevacizumab + EGFR-TKI	11.57	2	PR
3 <sup>a</sup>	A763 Y764insFQEA	Icotinib	Platinum + Paclitaxel + Bevacizumab + EGFR-TKI	$8.47 +$		PR
3 <sup>a</sup>	A763 Y764insFQEA	Afatinib	EGFR-TKI monotherapy	$1.23 +$	2	PR
4	A763_Y764insFQEA	Afatinib	EGFR-TKI monotherapy	7.27		PR
$5^a$	A763_Y764insFQEA	Afatinib	EGFR-TKI monotherapy	5.83	3	PR
$5^a$	A763 Y764insFQEA	Icotinib	EGFR-TKI monotherapy	1.87		PD
$5^a$	A763 Y764insFQEA	Osimertinib	EGFR-TKI monotherapy	1.17	5	PD
6 <sup>a</sup>	A763_Y764insFQEA	Osimertinib	EGFR-TKI monotherapy	5.73		PR
6 <sup>a</sup>	A763 Y764insFQEA	Osimertinib	Platinum + Pemetrexed + Bevacizumab + FGFR-TKI	2.53	4	PR
6 <sup>a</sup>	A763_Y764insFQEA	Osimertinib	Pemetrexed + Bevacizumab + EGFR-TKI	2.13	2	<b>SD</b>
7 <sup>a</sup>	A763 Y764insFQEA	Osimertinib	EGFR-TKI monotherapy	5.00	5	SD
7 <sup>a</sup>	A763 Y764insFQEA	Gefitinib	EGFR-TKI monotherapy	2.03	3	PD
8	A763_Y764insFQEA	Afatinib	EGFR-TKI monotherapy	$3.77 +$	2	<b>SD</b>
9	A763_Y764insFQEA	Gefitinib	EGFR-TKI monotherapy	2.10	6	PD
10	A763 Y764insFQEA	Gefitinib	EGFR-TKI monotherapy	$2.00 +$		SD
	G770 equivalent variant					
11	D770delinsGY	Afatinib	EGFR-TKI monotherapy	3.93	3	<b>SD</b>
12 <sup>a</sup>	V769_D770insGTM	Afatinib	EGFR-TKI monotherapy	2.13	2	PD
12 <sup>a</sup>	V769_D770insGTM	Osimertinib	EGFR-TKI monotherapy	1.40	5	PD
13 <sup>a</sup>	D770delinsGY	Almonertinib	EGFR-TKI monotherapy	$1.67 +$		<b>SD</b>
13 <sup>a</sup>	D770delinsGY	Afatinib	EGFR-TKI monotherapy	$0.80 +$	2	<b>SD</b>
14	V769_D770insGSV	Icotinib	EGFR-TKI monotherapy	1.53		PD

<span id="page-8-0"></span>**Table 5** Efectiveness of EGFR-TKIs in patients with EGFR20ins special variants

<sup>a</sup> The same patients who received EGFR-TKIs treatment across different lines of treatment

+ Censored PFS values

In our observations, the majority of patients derived minimal clinical benefts from frst-, second-, and thirdgeneration EGFR-TKIs, with all median PFSs of less than four months. However, the impact of adding bevacizumab to EGFR-TKI therapy on clinical outcomes warrants further discussion. In contrast to the poor efficacy of EGFR-TKIs monotherapy, the addition of bevacizumab to the treatment regimen resulted in a numerical improvement in both PFS and ORR, achieving outcomes of 6.27 months  $(95\%CI = 4.67-NA)$  and 18.8%  $(3/16)$ , respectively. These findings underscore the potential advantages of incorporating bevacizumab into the treatment protocols for patients with NSCLC harboring EGFR20 insertions, suggesting a promising strategy that may offer increased clinical benefit.

Moreover, it is noteworthy to mention the thirdgeneration EGFR-TKI osimertinib in the context of EGFR20ins. In a preclinical study, osimertinib was observed to efectively inhibit the growth of the Ba/F3 cell line harboring the EGFR20ins [[22](#page-11-18)]. However, recent retrospective analyses present a contrasting picture. In real world retrospective studies, NSCLC patients with EGFR20ins treated with osimertinib monotherapy showed ORRs of 5% to 6.5% and median PFS ranging from 2.3 to 3.6 months, including an early retrospective study in China  $[23, 24]$  $[23, 24]$  $[23, 24]$  $[23, 24]$  $[23, 24]$ . The ECOG-ACRIN EA5162 (NCT03191149) phase II trial tested osimertinib at 160 mg daily, twice the usual dose, in NSCLC patients with EGFR20ins mutations. It fell short of its primary goal of 30% ORR, with early fndings showing a 24% ORR and a median PFS of 9.6 months [[25\]](#page-11-21). In our cohort, regardless of the line of treatment, osimertinib monotherapy did not demonstrate favorable outcomes, with a median PFS of 3.00 months  $(95\% \text{ CI} = 2.85 - 4.48)$  and an ORR of 25% (15/60). It is important to acknowledge that for the majority of our cohort, the exact dosages of osimertinib administered (either 80 mg or 160 mg) remained unspecified. The suboptimal real-world efficacy suggests that osimertinib may have limited efectiveness in patients with EGFR20ins mutations.

Considering the poor responses and unsatisfed outcomes of typically used therapies for NSCLC with EGFRex20ins, there is a need for more efective treatment options. The evolving landscape of targeted therapies for patients harboring EGFR20ins has been marked by both advancements and setbacks. A notable event in this journey was Takeda's decision to voluntarily withdraw mobocertinib, prompted by the less-than-expected outcomes of the EXCLAIM-2 phase III confrmatory trial. The results revealed that mobocertinib's effectiveness was comparable to, but did not surpass, that of frstline platinum-based chemotherapy, with median PFS being 9.59 months for mobocertinib versus 9.63 months for chemotherapy (platinum + pemetrexed) group  $[26]$  $[26]$ . The objective response rates were 32% for mobocertinib compared to 30% for chemotherapy, further highlighting the need for more efective frst-line treatment options.

Among the recent developments in drug research, amivantamab stands out as a novel, fully humanized bispecifc monoclonal antibody targeting both EGFR and c-MET. Encouraged by promising preclinical data, the CHRYSALIS study (NCT02609776), a phase I clinical trial, was conducted in patients with NSCLC harboring EGFR20ins mutations who had received prior treatments. This study reported an ORR of 40% with a median PFS of 8.3 months [\[6](#page-11-4)]. Furthermore, recent results in 2023 have shown that amivantamab, in combination with chemotherapy as a frst-line treatment, demonstrated a PFS of 11.4 months [\[27\]](#page-11-23). Another EGFR-TKI, DZD9008 (sunvozertinib), demonstrated significant efficacy in the WU-KONG6 pivotal study (CTR20211009), showing a confrmed ORR of 60.8% in Chinese patients with NSCLC harboring EGFR20ins mutations [[28](#page-11-24)]. Furmonertinib also showed promising efficacy in treatment- naïve patients with an ORR of 69.0%. Similarly, poziotinib, a novel EGFR-TKI, has shown promising efficacy, with a blinded independent review confrming an ORR of 31% and a median PFS of 5.5 months in a phase II clinical trial  $[29]$  $[29]$ . Notably, the efficacy of poziotinib varied among patients with diferent amino acid insertions in the near loop and far loop-region of EGFR exon 20, with ORRs of 46% and 0%, respectively. Also, there have been additional reports regarding the efficacy of Osimertinib across diferent loop regions. As documented in the study by Okahisa M, et al., patients with near-loop EGFR Exon 20 insertions who received Osimertinib, a thirdgeneration TKI, exhibited a signifcantly longer PFS compared to those with far-loop insertions (median PFS: 5.6 vs. 2.0 months; Hazard Ratio [HR] [95% CI], 0.22 [0.07–  $[0.64]$ ;  $P < 0.01$ )  $[30]$  $[30]$ .

In our analysis, the secondary loop structure of EGFR exon 20 (near loop:A767-P722, far loop:H773-C775) did not serve as a predictive biomarker for the efficacy of frst-, second- or third-generation EGFR-TKIs monotherapy. Despite the absence of observed diferences in efficacy between the near loop and far loop for EGFR-TKIs, heterogeneity within the entire exon cannot be overlooked. According to recent research, the specific subtype occurring in the  $\alpha$ C-helix of exon 20, A763\_Y764insFQEA, is considered sensitive to frst and second-generation EGFR-TKIs treatment [[9\]](#page-11-10). Furthermore, G770 equivalent variants are believed to demonstrate certain sensitivity  $[11]$  $[11]$ . The detailed treatment outcomes of patients with these two specifc insertion subtypes for EGFR-TKIs are presented in Table [5](#page-8-0). Notably, among our patients, two individuals with amino acid insertions at D770\_N771 exhibited a complete response (CR), one with D770\_N771insG and the other with D770\_N771insSVD, treated with erlotinib and osimertinib, respectively. These singular outcomes, while encouraging, highlight the need for cautious interpretation and further research into the efficacy of TKIs at this specifc insertion site D770\_N771. We compared the treatment outcomes of EGFR-TKIs between the two types of insertion mutations, D770\_N771insX and others occurring in the loop region. Although the results were numerically better [PFS: 3.73 m, 2.93 m, *p*=0.52] [ORR: 25.9% (7/27), 15.9% (13/82), *p*=0.50], there was no statistically signifcant diference. We believe that diferent amino acid insertions at diferent sites by various EGFR-TKIs may represent a possible breakthrough in EGFR20ins treatment.

Besides, it is imperative to evaluate the role of TP53 co-mutations within the context of EGFR20ins. Previous research, notably that conducted by Janning et al., has highlighted the prognostic importance of genetic variations in determining the outcomes of targeted therapy [\[31](#page-11-27)]. Janning's fndings indicate that TP53 act as a predictor of poor prognosis in patients with EGFR20ins mutations when treated with EGFR-TKIs. Our investigation aimed to explore whether TP53 comutations similarly influence the therapeutic efficacy of EGFR-TKI monotherapy in 58 out of 302 patients harboring EGFR20ins. However, a signifcant impact of TP53 co-mutations on the response to EGFR-TKI monotherapy was not observed  $(p=0.66)$ . Neither PFS analysis nor multivariate Cox regression analysis revealed a statistically signifcant correlation between the TP53 mutation status and therapeutic outcomes. Although co-occurring TP53 mutations exerted a non-signifcant impact on EGFR-TKI therapies in our cohort, acknowledging the specifc context of each co-mutation and its potential interactions with diferent treatment regimens remains crucial.

This research is subject to the inherent limitations of any retrospective analysis conducted in a real-world setting, where data collection is reliant on online questionnaires and telephone follow-ups, potentially introducing

selection bias. Despite adherence to stringent protocols to defne real-world outcomes, the integrity and accuracy of the data may still be compromised due to reliance on available information. Nevertheless, the value of this study lies in providing invaluable real-world data on the efficacy of treatments for patients with EGFR exon 20 insertions mutations.

# **Conclusions**

In this comprehensive retrospective study, we explored the intricacies of treating NSCLC patients with EGFR20ins, a challenging and under-researched subset of lung cancer. By analyzing data from 302 patients and 856 treatment regimens, we provided a granular look into the real-world efectiveness of various therapeutic strategies. Our research stands out as the largest study to date in this domain within China, flling a signifcant gap in the literature by offering detailed insights into treatment patterns, outcomes, and the impact of mutation heterogeneity on therapeutic efficacy. Our findings reveal that platinum-based chemotherapy, particularly when combined with pemetrexed and bevacizumab, ofers superior PFS compared to monotherapy with EGFR-TKIs. Additionally, we identifed promising results with immuno-oncology treatments and the nuanced benefts of targeted therapies in later-line treatment, highlighting the potential for personalized treatment plans based on specifc mutation subtypes and patient characteristics. Ultimately, the insights garnered from this study are poised to substantially contribute to the evolving therapeutic landscape of EGFR20ins in NSCLC, laying the groundwork for future clinical trials and drug development efforts.

#### **Abbreviations**



### **Supplementary Information**

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Supplementary Material 1: Supplement Figure 1. Subgroup analysis of frst line treatment.

Supplementary Material 2: Supplement Table 1. Characteristics of patients who received frst-line chemotherapy with or without bevacizumab.

Supplementary Material 3: Supplement Table 2. Treatment outcomes of other less common regimens in frstline treatment.

Supplementary Material 4: Supplement Table 3. EGFR-TKIs Combination Treatment Regimens.

Supplementary Material 5: Supplement Table 4. Treatment Outcome of Immune Oncology agents in patients harboring EGFR20ins.

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#### **Authors' contributions**

W.W. performed formal analysis, visualized Fig. [2](#page-5-0), Supplement fgure 1 and wrote the original draft. S.Y. performed formal analysis and contributed to the writing of the original draft. J.H. performed formal analysis and curated data. Q.Q. curated data. Y.W. visualized Fig. [1](#page-3-0). J.D. developed the methodology and conceptualized the study. All authors reviewed the manuscript.

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#### **Availability of data and materials**

Original data related to this article can be found online at [www.researchdata.](https://www.researchdata.org.cn/) [org.cn/](https://www.researchdata.org.cn/). All data in the manuscript is available through the responsible corresponding author.

### **Declarations**

### **Ethics approval and consent to participate**

The study was approved by the Ethics Committee of Sun Yat-Sen University Cancer Center, under the consent number B2024-174–01. Information regarding participation requirements and the right to refuse was clearly communicated to all participants. All research activities were performed in accordance with relevant guidelines and regulations.

#### **Consent for publication**

Not applicable.

#### **Competing interests**

The authors declare no competing interests.

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